Tarsier: Evolving Noise Injection in Super-Resolution GANs

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Abstract—Super-resolution aims at increasing the resolution and level of detail within an image. The current state of the art in general single-image super-resolution is held by NESRGAN+, which injects a Gaussian noise after each residual layer at training time. In this paper, we harness evolutionary methods to improve NESRGAN+ by optimizing the noise injection at inference time. More precisely, we use Diagonal CMA to optimize the injected noise according to a novel criterion combining quality assessment and realism. Our results are validated by the PIRM perceptual score and a human study. Our method outperforms NESRGAN+ on several standard super-resolution datasets. More generally, our approach can be used to optimize any method based on noise injection.

I. INTRODUCTION

Super-resolution has received much attention from the computer vision and machine learning communities and enjoys a wide range of applications in domains such as medical imaging [9], [29], security [36], [27] and other computer vision tasks [5], [13], [44], [28]. Several architectures were proposed to maximize the Peak Signal-to-Noise Ratio (PSNR) [7], [21], [22], [6]. However, the PSNR score contradicts quality assessments from human observers and PSNR-oriented methods tend to produce blurry images [4], [32]. Recent works [47], [33], [51] evaluate their models based on the PIRM perceptual index, which combines the MA [24] and NIQE [26] scores and is related to perceptual quality.

Methods based on Generative Adversarial Networks (GANs) are especially successful at producing sharp and realistic images. Among them, we can list Super Resolution GANs (SRGANs) [23], and follow up works [33], [47], [30], [41], [23] that perform well according to the PIRM criterion. In this paper, we improve SRGAN and its variant known as NESRGAN+ [33] that uses noise injection [45] at training time. We consider the noise injection as a free parameter that can be leveraged in order to improve the output quality. More precisely, at inference time, we optimize the noise injection using an evolutionary algorithm in order to produce sharper and more detailed images. Our novel objective function combines an Image Quality Assessment tool, Koncept512 [15], and the discriminator of the GAN in order to improve both image quality and realism. Thanks to our proposed score, our criterion is also robust across datasets and requires little hyperparameter tuning.

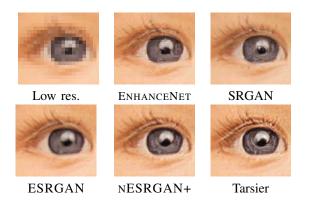


Fig. 1. Tarsier compared to baselines on the eye of the boy in set5. Compared to NESRGAN+ and to other baselines, the image generated by Tarsier is sharper.

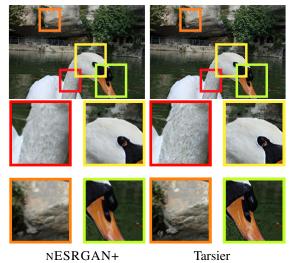


Fig. 2. Left: baseline with noise set to zero at inference time (NESRGAN+). Right: Our result, where some details on the image optimized with Diagonal CMA are sharper than on the baseline image, notably the neck, eye, and beak.

When optimizing our objective function, gradient-based optimization methods classically used in deep learning (e.g. Adam, Gradient Descent) quickly get stuck on critical points and only result in marginal improvements in our criterion. Evolutionary methods [14] are known as the jeep of artificial intelligence [42], [25]: they are compatible with rugged objective functions without gradient and search for flat, stable optima [19]. Moreover, the work [37], [40] optimizing the latent space of GANs finds that evolutionary methods are especially robust to imperfect surrogate objective functions. Our experiments also support the use of evolutionary methods for optimizing noise injection in GANs: they show that Diagonal CMA is well suited to optimizing our rugged objective criterion, as it outperforms gradient-based methods on many datasets. When optimizing our criterion with an evolution strategy, we produce sharper and more detailed images. We outperform NESRGAN+ and other Super Resolution methods quantitatively (i.e. according the PIRM perceptual index) and qualitatively (according to a human study). A short paper [39] presented the idea of merging super-resolution GAN and quality estimation. The present paper contains experimental results, equations and detailed algorithms.

II. BACKGROUND

A. NESRGAN+: noise injection in super-resolution GANs

SRGAN [23], an application of conditional GANs to superresolution, showed that GANs are well suited to improving the perceptual quality of images generated with Super Resolution. SRGAN is equipped with attention in [31], and made sizeinvariant thanks to global pooling in [8]. Sometimes extended with dense connections, it performs well for perception-related measures [48]. ESRGAN [47] is an enhancement based on a novel architecture containing blocks without batch normalization layers [17], use of Relativistic average GAN [18] and features before activation for the perceptual loss. NES-RGAN+ [33] is an extension of ESRGAN using additional residual connections and noise injection as depicted in Fig. 3. Gaussian noise is added to the output of each of the 23 residual layers of each of the 3 blocks (total 69 layers) along with learned per-feature scaling factors. It leverages stochastic variations that randomize only local aspects of the generated images without changing our global perception of these images, in a spirit similar to [20]. The injected noise z is usually set to zero at inference time. In the present paper, we consider $z \neq 0$, optimized by evolutionary algorithms based on an objective function built with Koncept512 and the discriminator.

B. Koncept512: image quality metric via supervised deep learning

We modify our conditional GAN to improve the quality of the generated images by considering the Koncept512 [15] image quality assessment (IQA) model.

Koncept512 is built by training a deep-learning model to rate the quality of images from the KonIQ-10k dataset. The KonIQ-10k dataset is the largest, reliably annotated in-thewild IQA publicly available dataset, consisting of 10,073 subjectively rated images, each rated by 120 users. The images are sourced from Flickr and selected to cover a

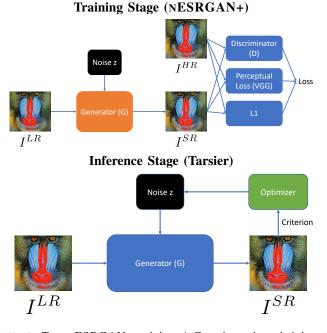


Fig. 3. Top: NESRGAN+ training. A Gaussian noise z is injected at training time. At test time, z is set to zero. Bottom: our Tarsier noise optimization method. We use a pre-trained NESRGAN+ generator to generate high resolution images from low resolution images and a noise chosen using an optimizer that maximizes our criterion

wide range of content sources (object categories) and qualityrelated indicators. The domain of the images in KonIQ-10k is particularly suitable for evaluating super-resolution methods. As the images on Flickr are predominantly taken by amateur photographers, they often focus incorrectly, take hand-held shots producing motion-blurs, or take pictures in low-light conditions.

For brevity, the Koncept512 IQA model will be referred to as K. It takes as input an image I and outputs its estimated quality score K(I). Koncept512 is highly accurate, with a performance on the KonIQ-10k test set equivalent to the mean opinion score coming from nine users. However, when K is cross-tested on another in-the-wild IQA database (LIVE inthe-wild), implying some domain shift, the performance drops; in this case, the model is equivalent to roughly the opinion of a single user. Thus, K is effective on in-the-wild images and may not fare well on synthetic degradation. The limitations of the model require careful consideration when deploying it for guided super-resolution, as done in the present work.

III. TARSIER: OPTIMIZED NOISE INJECTION

Given a low-resolution image I^{LR} and a noise vector z of dimension d, we can use a trained NESRGAN+ generator G to generate a high resolution image $I^{SR} = G(z, I^{LR})$. Whereas GANs with noise injection typically use z = 0, our algorithm Tarsier (named after a family of haplorrhine primates with excellent eyesight) considers $z = z^*$ maximizing one of the criteria defined below. See Figure 3 for an overview of our approach.

A. Image Quality Score

A naive quality scorer could consist in simply applying Koncept512 to the output of NESRGAN+:

$$S_q^{Naive} = K(G(z, I^{LR})).$$

We observe that the scores given by K remain accurate as long as the generated images remain similar to natural images or to the images generated by NESRGAN+. However, the images obtained by optimizing for S_q^{Naive} are not in this category: they tend to contain many artifacts, which increases the score of K despite being unrealistic and visually displeasing. It prompted us to optimize a *pessimistic* version of the score given by K:

$$S_q(z) = L^+(K(G(z, I^{LR})) - K(G(0, I^{LR})))$$
(1)

with

$$L^{+}(x) = \begin{cases} \log(1+x) & \text{if } x > 0\\ x & \text{otherwise.} \end{cases}$$
(2)

We call S_q pessimistic because it trusts bad scores while taking a safety margin for good ones. As $K(G(0, I^{LR}))$ is constant, S_q is equivalent to S_q^{Naive} when the proposed z fares worse than the zero-noise injection baseline (i.e. $K(G(z, I^{LR})) - K(G(0, I^{LR})) < 0$). In other words, we consider that S_q^{Naive} is an accurate evaluation of the quality of the generated image when it gives a poor score. Inversely, when the proposed z fares better than the baseline (i.e. $K(G(z, I^{LR})) - K(G(0, I^{LR})) > 0$), S_q increases logarithmically with the score of K in order to account for the possibility that the image quality may be overestimated. Moreover, S_q increases similarly to S_q^{Naive} for $K(G(z, I^{LR}))$ very close to the baseline score, while it increases slowly when it is much larger.

Another advantage of the logarithm in the L^+ function is that it makes our optimization process less scale-dependent when the proposed z clearly outperforms the baseline: when $x = K(G(z, I^{LR})) - K(G(0, I^{LR}))$ is large, multiplying x by a constant is almost equivalent to adding a constant to our score and does not change the behaviour of our optimizer. This property makes our hyper-parameters more robust across images and datasets.

B. Realism Score

Optimizing for S_q compels the generator to produce highquality images, but nothing forces it to generate realistic images. Generative Adversarial Networks train a generator concurrently with a discriminator, which aims at evaluating the realism of a high-resolution image given an input lowresolution image. The later can be used to produce a realism score. The discriminator still suffers from the same issue as K. It is trained on real high-resolution images and images generated by the NESRGAN+ generator. Since the noise is sampled from a Gaussian distribution centered on zero during training, the discriminator is inaccurate on images generated with noises having extreme norms or directions. In order to mitigate this issue, we define a pessimistic realism score similarly to the quality score defined in Section III-A:

$$S_r(z) = L^+(D(G(z, I^{LR})) - D(G(0, I^{LR}))), \quad (3)$$

where D(I) is the discriminator score for the image I.

In practice, the discriminator can only take images of dimension 128×128 as input and the high-resolution images we generate with NESRGAN+ are always of higher dimension. To compute S_r on the whole image, we divide the image in patches of size 128×128 and compute the score on each one of them. In order to ensure that each part of the image looks realistic, we define S_r as the minimum score over all the patches.

C. Final Criterion

We define our final criterion, which is to be maximized, by adding a l_2 penalization to the quality score (Eq. 1) and the realism score (Eq. 3) with suitable coefficients:

$$\mathcal{C}_1(z) = \lambda_q S_q(z) + \lambda_r S_r(z) - \frac{\lambda_p}{d} ||z||_2^2, \tag{4}$$

where d is the dimension of z, and λ_q , λ_r , λ_p are scale factors for each term. The application of this first criterion yields better results on blurry images. This observation motivates the definition of a second criterion that adapts the noise injection to the blurriness of the input. We define it as follows:

$$C_2(z) = \lambda_q S_q(z) + \lambda_r S_r(z) - \frac{\lambda_p B(G(0, I^{LR}))}{d} ||z||_2^2, \quad (5)$$

where B(I) is the standard deviation of the Laplacian of image I, divided by $\sqrt{1000}$ in order to keep the regularization on the same order of magnitude. The value of B(I) increases when the blurriness of image I decreases. Maximizing Eq. 5 increases the regularization when the images are less blurry.

We tested several values of the hyperparameters λ_q , λ_r and λ_p . With our pessimistic scores, Tarsier is not very sensitive to small variations of the hyperparameters. While some values lead to slightly better results on some of the datasets, we find the results obtained by setting $\lambda_q = \lambda_r = \lambda_p = 1$ to be satisfactory and particularly robust across datasets.

Tarsier uses Diagonal Covariance Matrix Adaptation (DCMA) [38] for optimizing our criteria. CMA Evolution Strategy [12], [10] is a second order method which estimates a covariance matrix closely related to the Hessian matrix in Quasi-Newton methods. It requires no tedious parameter tuning, since the choice of most of the internal parameters of the strategy is automatically done during training with methods such as Cumulative Step-Size Adaptation [2]. DCMA is a variant of CMA in which the covariance matrix is constrained to be diagonal. It reduces the time and space complexity of CMA from quadratic to linear. It evaluates fewer parameters for the covariance matrix and requires fewer function evaluations than CMA for estimating the covariance in high dimension.

A. Setup

As in [33], we use an NESRGAN+ model trained on DIV2K [1], improving significantly the performance of ES-RGAN by injecting noise at training time. Noise vectors are injected after each residual connection in every residual dense block, and are sampled randomly from a Gaussian distribution at training time. At inference time, Tarsier learns noise vectors for each residual connection. We consider them as additional degrees of freedom for further improving the performance, as measured by our criterion. The dimension of the noise is d = 27,600. We use the code and the weights of the Koncept512 model available online¹. We compute the Tarsier criterion on a Tesla V100 GPU. We run ×4 upscaling experiments on widely used super-resolution datasets: Set5[3], Set14[50], the PIRM Validation and Test datasets[4], Urban100[16], and OST300[46]. We compute the PIRM score on Matlab using the code made available for the PIRM2018 challenge². We refer to [47], [24], [26] and references therein for a precise definition of the perceptual quality estimation used in the present document. Here is a description of the optimizers we assess to maximize Eq. 4 and 5.

B. Optimizer choice: evolutionary computation

We use evolutionary algorithms implemented in the nevergrad library [34]. The motivations for this choice are:

- As pointed out in [19] and [37] in the context of computer vision, evolutionary algorithms provide solutions robust to imperfect objective functions. More precisely, by focusing on optima stable by random variable-wise perturbations, evolutionary algorithms behave well on the real objective function (in particular, human assessment) when we optimize a proxy (here, our criterion). We see in Table I that, even from a purely numerical point of view, evolutionary computation outperforms gradient-based algorithms in the present setting. We observe that gradient-based methods tend to get stuck in suboptimal critical points after a few hundreds iterations. Gradient descent slightly outperforms Adam on all datasets but its performances are still way below these of Diagonal CMA.
- There is no need for gradient estimation. Although our criteria are differentiable, evolutionary methods could also be used to optimize non-differentiable criteria (e.g., direct human feedback). Moreover, computing the overall gradient over distinct deep learning frameworks can be a burden, and evolutionary methods do not require it.
- The optimization is naturally parallel.

We mainly use Diagonal CMA [38] as a derivative-free optimization algorithm because it is fast and reliable. Compared to CMA, the diagonal covariance matrix reduces the computational cost and reduces the budget requirement as we do not have to evaluate an entire covariance matrix. Compared to the (1 + 1)-evolution strategy with one-fifth

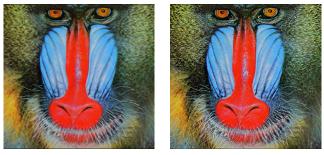
Comparison of derivative-free methods (CMA, Diagonal CMA, the 1+1 evolution strategy [43], Differential Evolution) and gradient-based methods (Adam and Gradient Descent) for optimizing C_1 (higher is better). Gradient-based methods tend to get stuck in sub-optimal local minima and do not perform as well as Diagonal CMA.

Dataset/Method	Set5	Set14	PIRM Val
Random Search	$1.28 \pm 0.65\%$	0.61 ± 0.50	0.06 ± 0.03
CMA	1.75 ± 1.36	0.71 ± 0.68	0.28 ± 0.16
DCMA	$\textbf{4.51} \pm 0.86$	$\textbf{3.80} \pm 0.50$	$\textbf{3.13}\pm0.13$
(1+1)	3.74 ± 2.1	1.63 ± 1.36	0.01 ± 0.02
GD	1.79 ± 0.77	0.85 ± 0.54	0.93 ± 0.18
ADAM	1.51 ± 0.77	0.84 ± 0.63	0.82 ± 0.23

TABLE II	
GRADIENT-BASED METHODS ALSO DO NOT PERFORM AS WELL	
ACCORDING TO THE PIRM PERCEPTUAL SCORE [4] (LOWER IS	
BETTER). THE BUDGET IS 10000 FUNCTION EVALUATIONS. FOR	
EACH OPTIMIZER, THE BLUR VERSION OPTIMIZES C_2 (Eq. 5) and	
THE OTHER VERSION OPTIMIZES \mathcal{C}_1 (Eq. 4). Best result	
SHOWN IN BOLD, SECOND BEST UNDERLINED.	

	DCMA	DCMA + Blur	GD	GD + Blur	ADAM	ADAM +Blur
set5	2.787	2.667	3.033	3.026	2.997	2.998
set14	2.740	2.656	2.826	2.828	2.937	2.937
PIRM Val	2.348	2.335	2.376	2.375	2.399	2.399
PIRM Test	2.260	2.277	2.297	2.297	2.307	2.307

rule, DCMA has anisotropic mutations: it adapts the step-size on each dimension. DCMA frequently ranks high in blackbox optimization benchmarks, particularly when the problem is partially separable and/or when the dimension is large. This is definitely the case here with 27600 parameters, while the black-box optimization literature focuses on dimensions < 200[11]. DCMA and Differential Evolution (DE) are often perform best among algorithms not using specific decompositions, e.g. Nevergrad's dashboard[35] or LSGO[49]. In our experiments, DCMA performed better than DE.



SAN

Tarsier

Fig. 4. SAN and Tarsier on the baboon image from Set 14. The image generated by SAN is visibly more blurry than that generated by Tarsier. It is also the case for other images and when comparing SAN to ESRGAN.

¹https://github.com/subpic/koniq

²https://github.com/roimehrez/PIRM2018

TABLE III

PIRM PERCEPTUAL SCORES COMPUTED (LOWER IS BETTER) ON THE RAW OUTPUTS OF SEVERAL SUPER-RESOLUTION MODELS. FOR EACH DATASET, THE BEST RESULT IS SHOWN IN BOLD AND The second best is underlined. Tarsier optimizes \mathcal{C}_1 (Eq. 4) WHILE TARSIER + BLUR OPTIMIZES C_2 (Eq. 5). Both Tarsier AND TARSIER+BLUR OUTPERFORM NESRGAN+ IN ALL CASES.

Dataset	SAN[6]	ENHANCENET	ESRGAN	NESRGAN+	Tarsier	Tarsier + Blur
set5	5.94	2.93	3.76	3.21	2.79	2.67
set14	5.37	3.02	2.93	2.80	2.74	2.66
PIRM Val	-	2.68	2.55	2.37	2.35	2.34
PIRM Test	-	2.72	2.44	2.29	2.26	2.28
Urban100	5.12	3.47	3.77	3.55	3.50	3.49
OST300	-	2.82	2.49	2.49	2.47	2.47

C. Results

Our main quantitative experimental results are presented in Table II and Table III. In Table II, we compare gradientbased methods to the evolutionary method that performed best according to our criterion: Diagonal CMA. Diagonal CMA outperforms both GD and ADAM on four standard superresolution datasets and when optimizing either the Blur or the normal criterion. Using the results from Tables I and II, we decided to eliminate gradient-based methods and to use Diagonal CMA to optimize our criterion in Tarsier.

a) Perceptual score: In Table III, we use the PIRM score to compare our method, Tarsier, to NESRGAN+[33], ESR-GAN[47], ENHANCENET[41] and SAN [6]. All our baselines are perception-driven approaches based on GANs, except SAN that is based on a convolution network with attention. ES-RGAN outperforms ENHANCENET on every dataset except set5, which is much smaller than the others, and Urban100, on which ENHANCENET is particularly efficient. NESRGAN+ outperforms ESRGAN on every dataset, even though it is only by a small margin for OST300. However, ENHANCENET still surpasses NESRGAN+ on set5 and Urban100. Whether it uses the Blur or the non-Blur criterion, Tarsier outperforms NESRGAN+ on every dataset. It also outperforms every other method on every dataset except Urban100, on which ENHANCENET is slightly better. SAN performs well in terms of PSNR, but not in terms of perceptual scores. The images it generates appear much blurrier than those generated by Tarsier or any of our baselines, see Fig. 4.

b) Qualitative comparison: We present some examples in Figs. 1 and 2. Compared to NESRGAN+, Tarsier generates images that are sharper and more detailed. For instance, Tarsier produces sharper and more natural eyelashes on the boy in Fig. 1. On Fig. 2, it is capable of generating sharper patterns and shadows on the stones behind the swan, as well as more convincing wet feathers on the neck of the animal. The beak also appears less blurry. We show in Fig. 5 that Tarsier does more than applying a classical sharpening filer (unsharp masking) on top of NESRGAN+, while keeping the image clean, and reducing graininess.

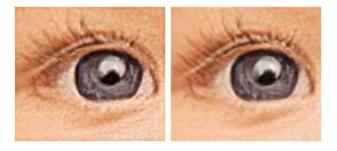


Fig. 5. On the left, unsharp masking applied to the output of NESRGAN+. On the right, the output of Tarsier. We set the amount, radius, and threshold parameters of unsharp masking to 80%, 5 pixels and 0 respectively.

Raw

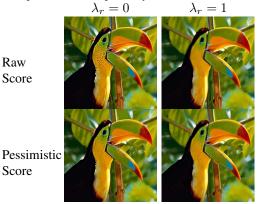


Fig. 6. Ablation study for the pessimistic score and the realism loss. Tarsier is on the bottom right.

c) Human study: We conducted a double-blind human study to validate that Tarsier improves the images generated by NESRGAN+. We took random samples of 20 images for the PIRM Test dataset and 30 for the OST300 dataset. We generated high resolution images with NESRGAN+ and Tarsier, and asked human reviewers to pick the best image among the two images shown in a random order. As NESRGAN+ already generates high-quality images, it is difficult to compare its output to that of Tarsier without zooming on the image. In order to make the comparison easier for the annotators, we generated zoomed-in images automatically for most different sub-images (measured using the PSNR). The images generated using Tarsier are preferred in 75.0% of the cases for PIRM Val and 76.7% for OST300. On both sets, Tarsier significantly outperforms NESRGAN+ (p-value < 0.05).

D. Ablation Study

We study the impact of each term in our criterion by setting the corresponding parameters to 0. We observe that removing both the realism score and the penalization $(\lambda_r = \lambda_p = 0)$ leads to the generation of images with unrealistic and visually displeasing artifacts (see Fig. 7). Both terms act as regularizers: if one of them is set to 1, most of the artifacts disappear. Removing only the penalization term ($\lambda_p = 0$) sometimes produces slightly more detailed images (e.g., sheep on Fig. 7). However, it can also produce some artifacts (see bird on Fig. 8). We decided to keep the penalization term for more

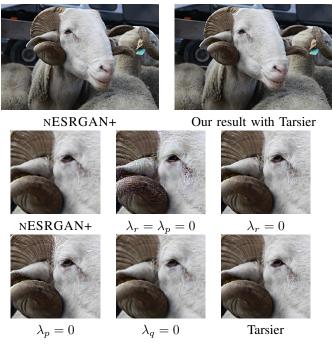


Fig. 7. Ablation study on an image from the PIRM validation dataset.

robustness. Removing the realism score ($\lambda_r = 0$) frequently produces small artifacts (see Fig. 8). Without the quality term ($\lambda_q = 0$), the images become more blurry and similar to those obtained with z = 0 (NESRGAN+).

As expected, the logarithm in the definition of the quality (Eq. 1) and realism (Eq. 3) scores can act as a regularizer and keep the generated images realistic. It is particularly visible when we also remove the realism score from our criterion (see $\lambda_r = 0$ on Fig. 6). The artifacts can also be avoided by increasing the penalization coefficient. However, without the pessimistic loss, the right value for λ_r would depend on the dataset and even on the image within a dataset. Therefore, using the raw instead of the pessimistic scores would make the method less robust and the penalization factor difficult and costly to tune. The pessimistic loss is less scale-dependent and the results it produces are much more robust across images and datasets: all the results we present are obtained with all the parameters set to 1. Another benefit of the pessimistic loss is to allow us to keep the quality and realism scores on the same scale. With the raw scores, i.e. without applying a logarithm to positive relative scores, the optimizer tends to optimize for whichever criterion is easiest to optimize. In our case, the optimizer often reaches very high realism scores (median value of 99.83 on set5 and set14) and almost ignores the quality score (median value of 4.45). Despite that, we do not often observe artifacts on the images generated without the pessimistic score. It indicates that optimizing the realism score does not easily create artifacts. However, these images are blurrier since the quality term tends to be ignored (see right column on Fig. 6). With the pessimistic scores, the median value of the quality score becomes 2.42 on set5 and set14, meaning the median raw scores goes up from 4.45 to 11.25.

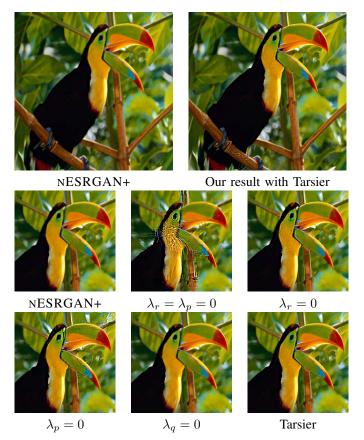


Fig. 8. Ablation study on an image from the set5 dataset. Removing the realism score and the penalization produces heavy artifacts. Removing only the penalization term still produces visible artifacts. Removing quality score makes the image blurrier and less detailed.

V. CONCLUSION

Noise injection has been left unexploited by super-resolution approaches that typically use noise z = 0 at inference time. In this work, we combine the perceptual image quality assessment model Koncept512 and the adversarial network output into a novel criterion accounting for the image's technical quality and realism. Without retraining the model, we optimize z using our criterion and outperform the state-of-the-art on several standard super-resolution datasets according to the PIRM score and to human opinion.

Our experiments show that, in addition to being easy to use, Diagonal CMA is better suited to our problem than gradientbased methods. On every dataset we tested, it outperformed gradient-based methods for optimizing the criterion and when compared using the PIRM score.

Though this optimized noise injection is applied to superresolution in the present paper, the method can be applied for optimizing noise injection in general. In this paper, we choose Koncept512 for our quality score in order to optimize the technical quality of the images. We could use the same method on another type of criterion (e.g. the artistic quality).

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