

---

# Halogenated high index polymer coating

Ni Huo

Ph.D. Candidate

Adviser: Professor Wyatt E. Tenhaeff

Department of Chemical Engineering

University of Rochester

28<sup>th</sup> Oct 2021

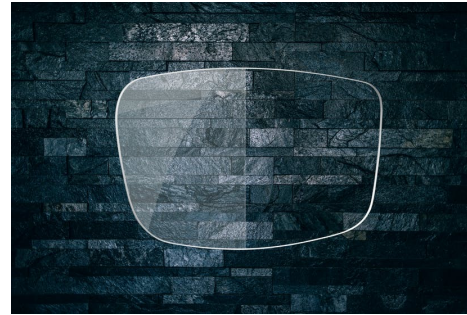


# Introduction: high index materials

## What is high index materials?



## Antireflective coating



URL: <https://www.zeiss.com/vision-care/int/labs/coating-technologies.html>

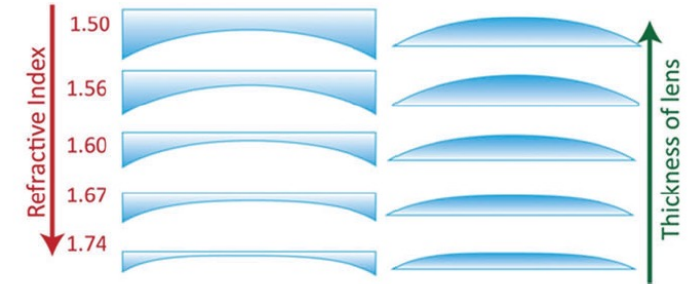
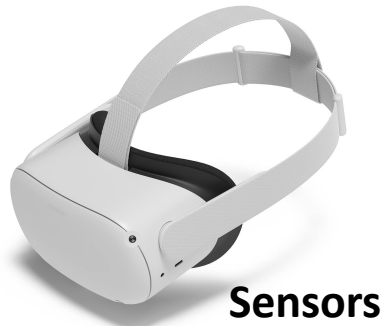
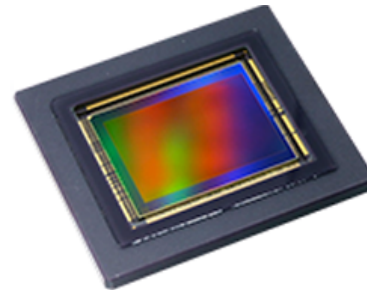


Figure 1. Representation of refractive index *versus* required lens thickness.

<https://www.agc.com/en/products/electronic/optical-glass/high-refractive/applications.html>



URL: <https://www.oculus.com/quest-2/>



## CMOS sensor

URL: <https://global.canon/en/>

With a higher RI,  
the material can be thinner

# High refractive index polymers (HRIPs) compared with inorganic counterparts

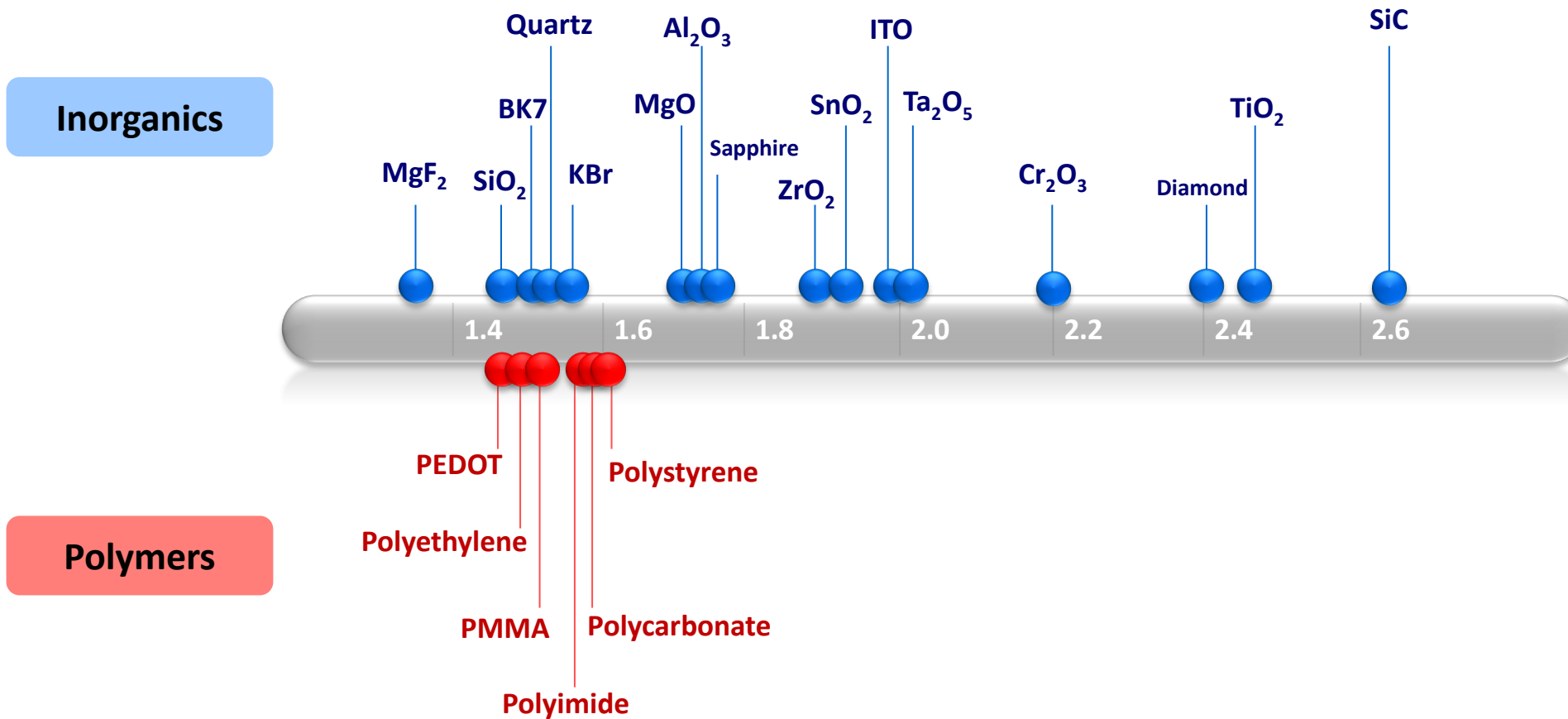
## Why polymeric high index materials over inorganic high index materials ?



- Mechanical flexibility
- Lightweight
- Impact resistance
- Processability
- Dying ability
- Low cost



# Refractive indices of polymers ranges from 1.3 – 1.7

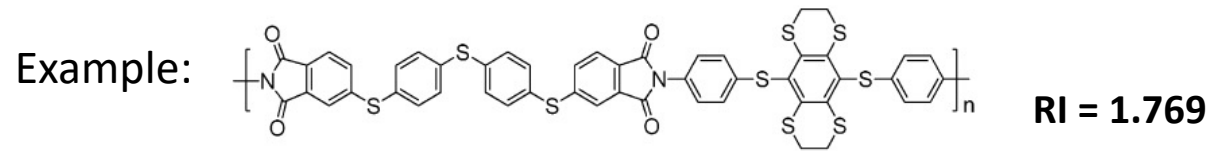


Polymer has a much lower upper limit of RI compared with inorganic material

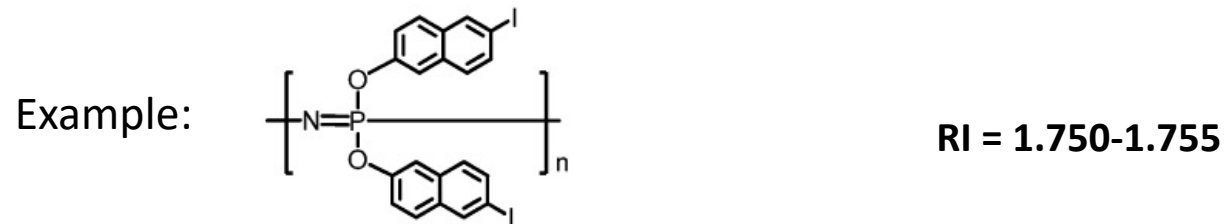


# Recent progress in high refractive index polymers

## Sulfur containing polyimides

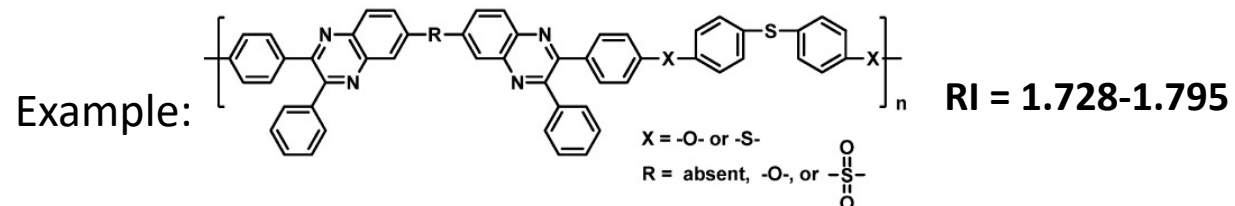


## Phosphorous containing high-n polymers



These newly developed HRIPs involve complicated synthesis processes, and yet still didn't achieve a RI higher than 1.8

## Polyphenylquinoxalines



# Design HRIPs

A substituent with a high molar refraction and low molar volume will increase the RI of a polymer.

**Table 1.** Comparison of molar refraction of selected substituents

Substituent	$R_m/(\text{cm}^3\text{mol}^{-1})$	Substituent	$R_m/(\text{cm}^3\text{mol}^{-1})$	
H	1.100	$\text{C} \equiv \text{C}$	2.398	
C	2.418	$\text{C} = \text{C}$	1.733	
O (in OH)	1.524	4-membered ring	0.400	
O (in $\text{C} = \text{O}$ )	2.211	Phenyl	25.463	Cause absorption
O (in ether)	1.643	Naphthyl	43.000	
Cl	5.967	S(S - H)	7.691	
Br	8.865	S(S - S)	8.112	
I	13.900	$\text{PH}_3$	9.104	

**Strategy:** incorporate **iodine** directly into the polymer chain to increase RI



# Design HRIPs

To incorporate iodine into polymer

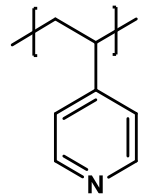


Find A polymer that can lock down iodine

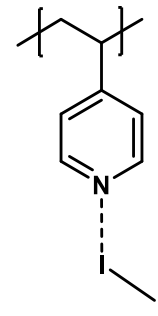


P4VP can form CTC with iodine

Poly(4-vinyl pyridine) (P4VP)



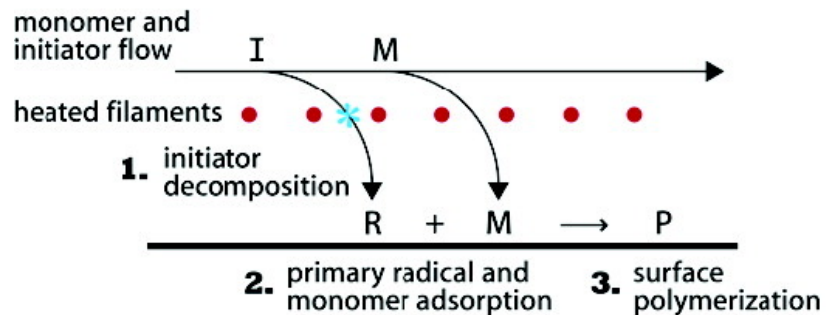
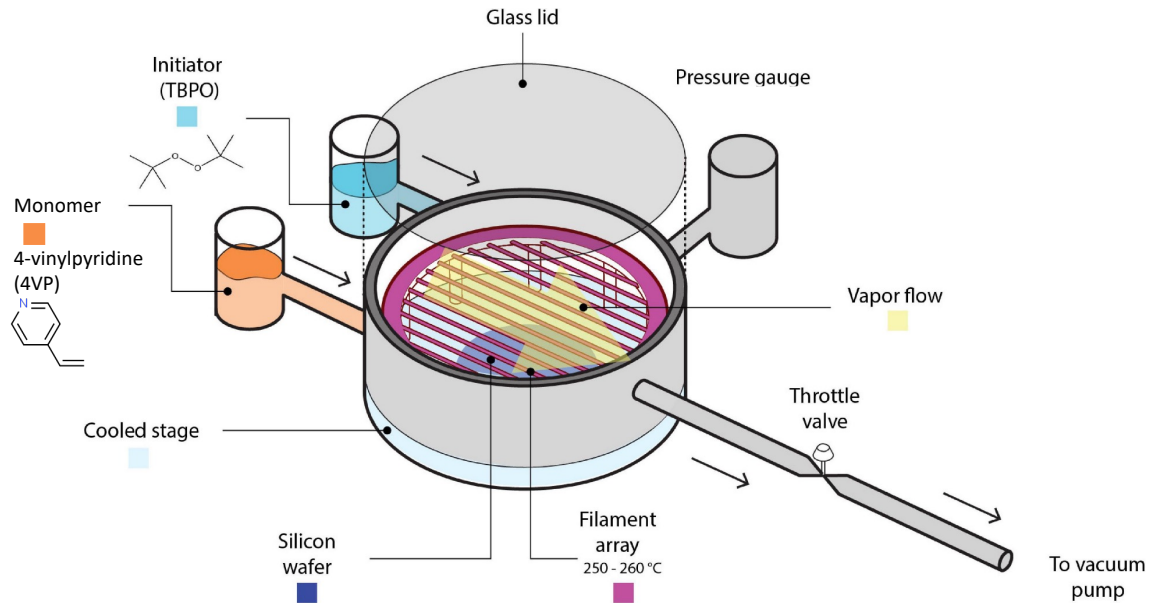
+ I<sub>2</sub>



P4VP-I<sub>2</sub> Charge transfer complex (CTC)

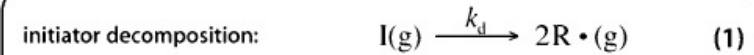
# Preparation of P4VP thin film by using Initiated chemical vapor deposition (iCVD)

## Reaction mechanism proposed for iCVD polymerization

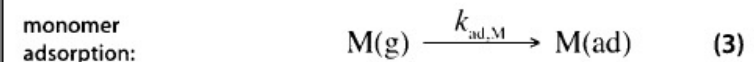
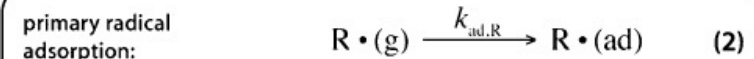


<https://doi.org/10.1002/adem.201700622>

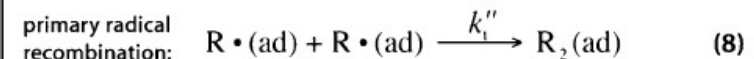
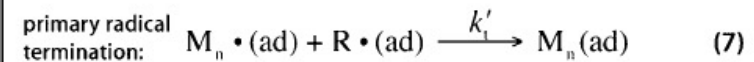
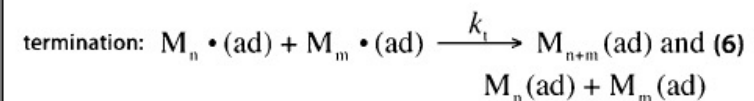
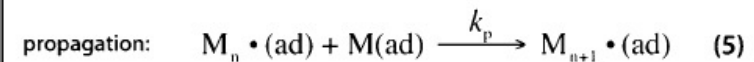
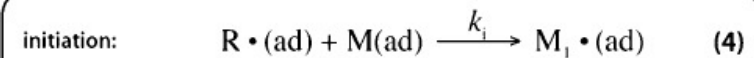
### gas phase reactions



### gas-to-surface processes



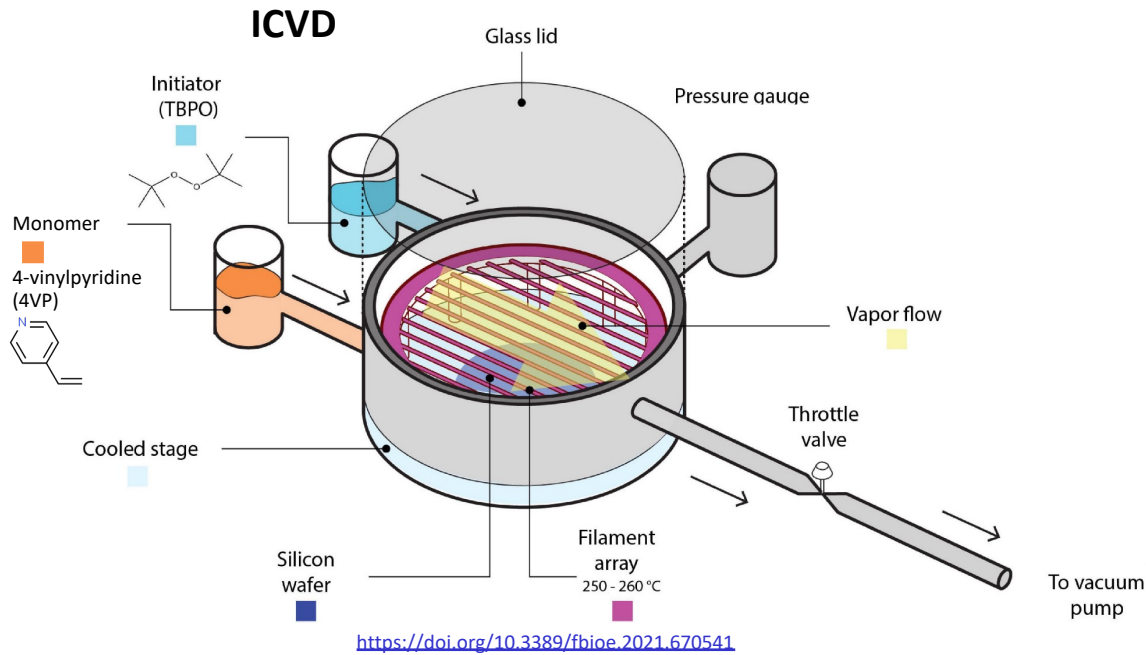
### surface reactions



<https://doi.org/10.1002/adem.201700622>

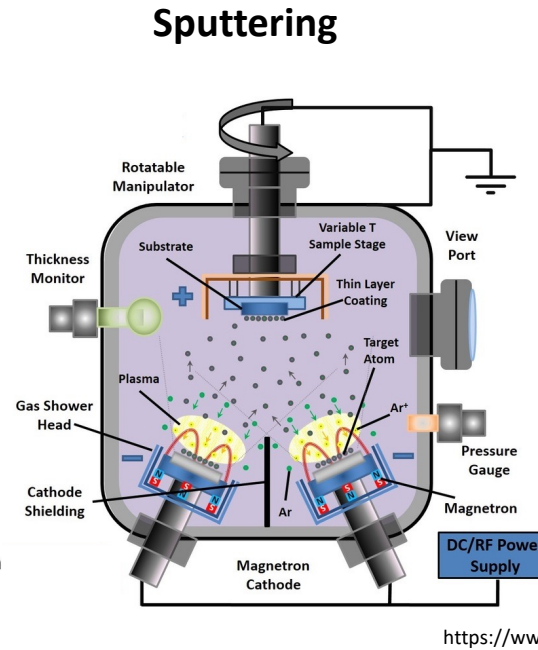


# iCVD vs. PVD



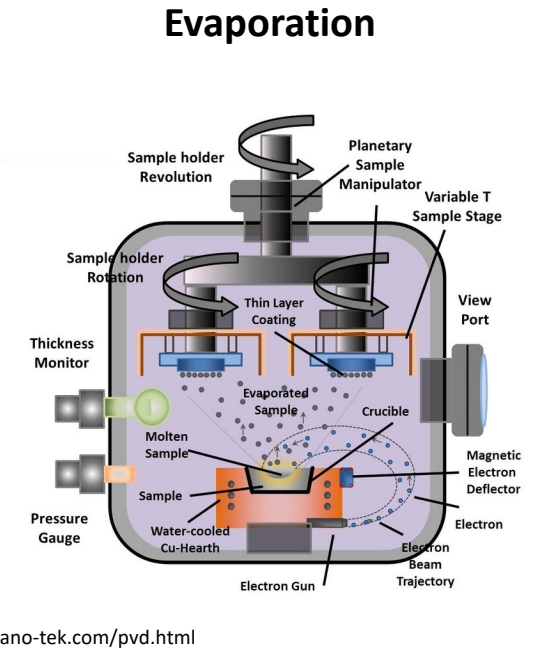
## iCVD:

- Conformal
- Fast deposition rate
- Low cost
- Room temperature
- Scale up
- Coat on flexible substrate



## PVD:

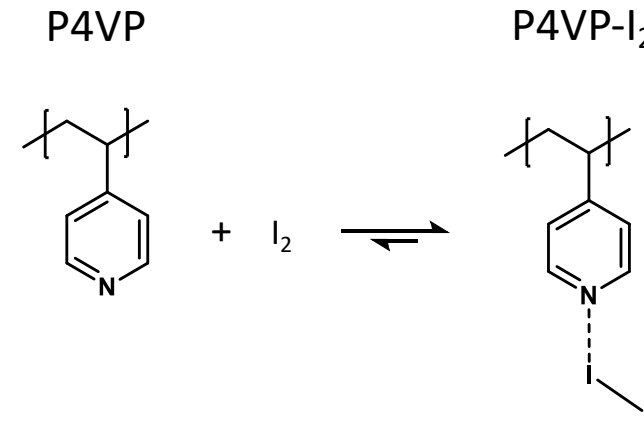
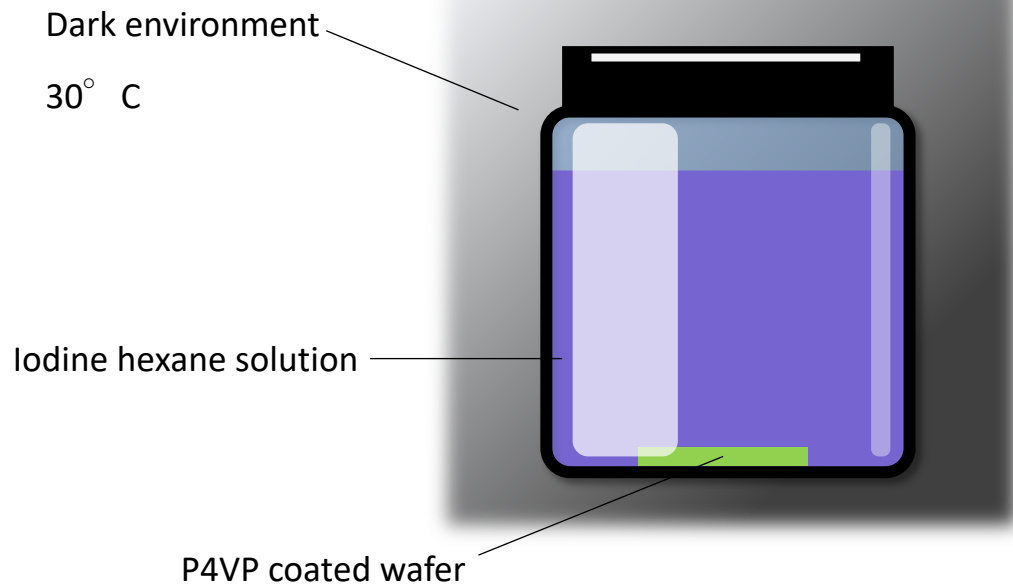
- Line of sight deposition
- Small deposition range
- Expensive



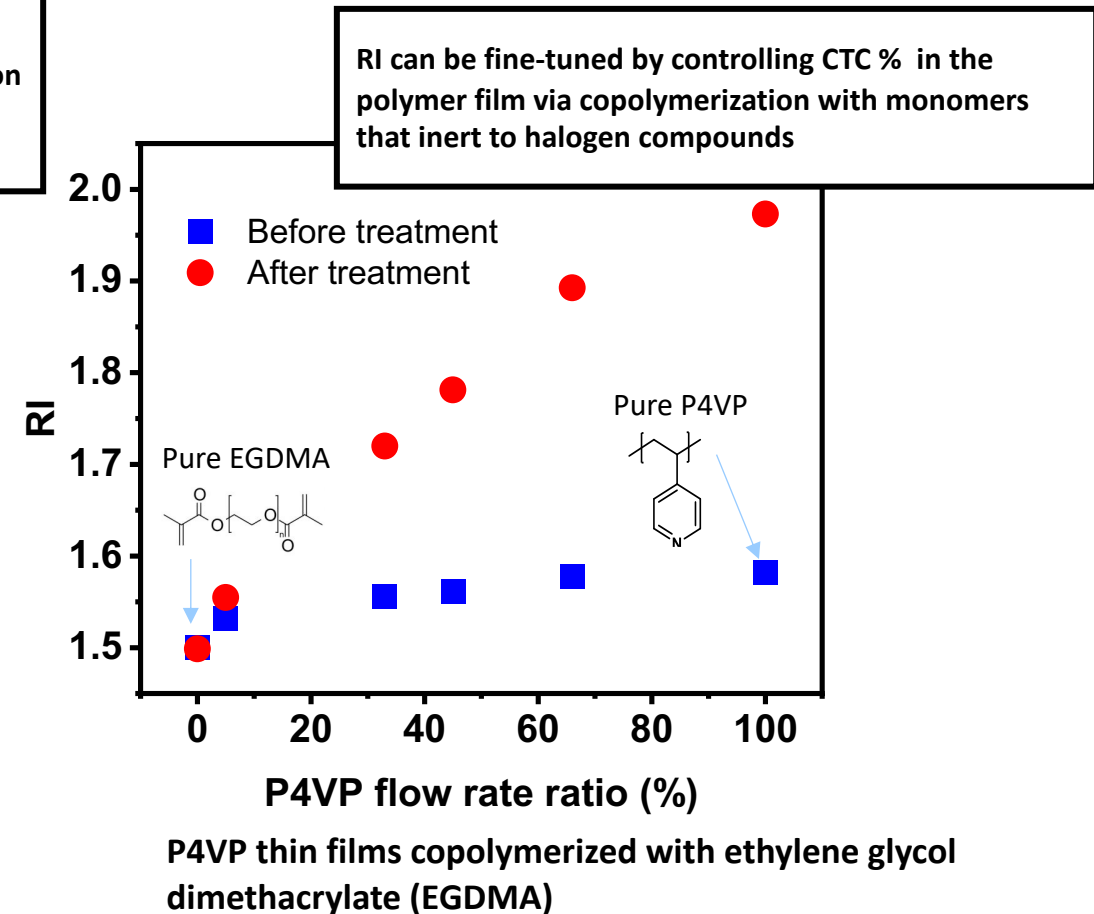
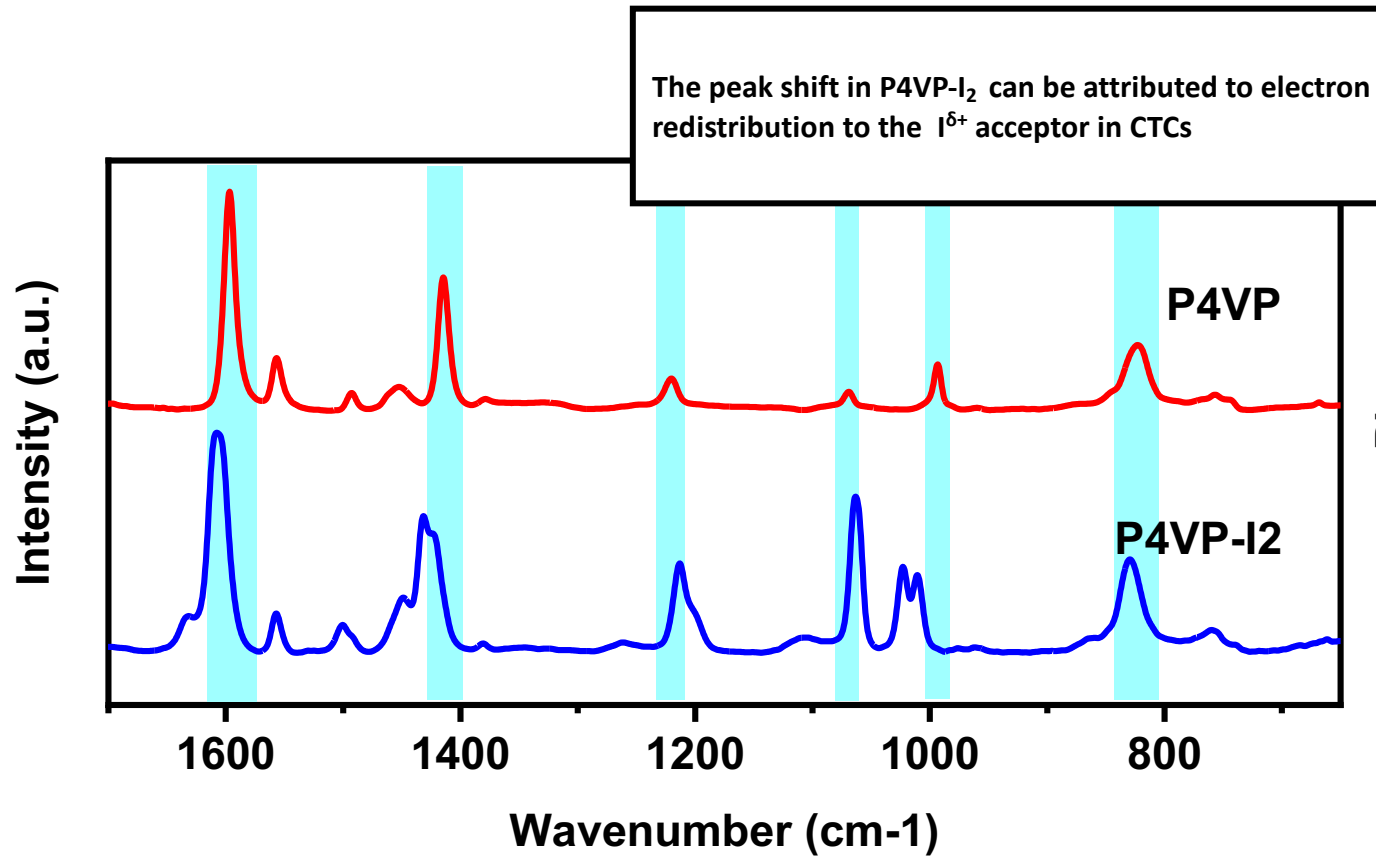
- Slow deposition rate
- High vacuum requirement
- High temperature

# Preparation of P4VP-I<sub>2</sub> Charge transfer complex (CTC)

A charge-transfer complex (CTC) is an association of two or more molecules, in which a fraction of electronic charge is transferred between the molecular entities.

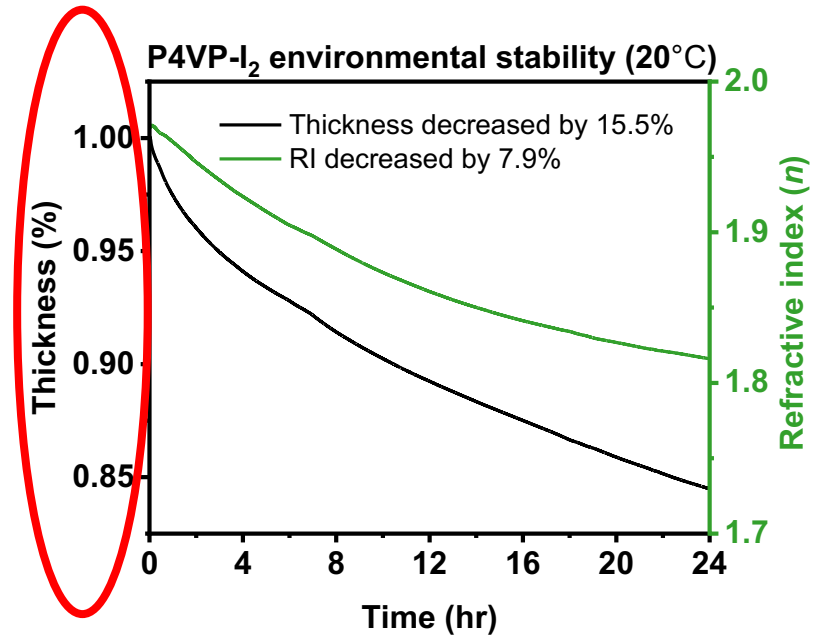
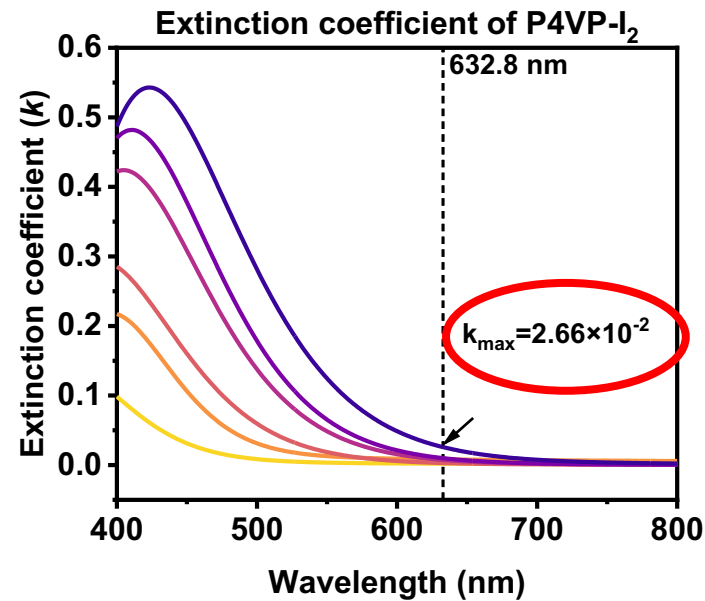
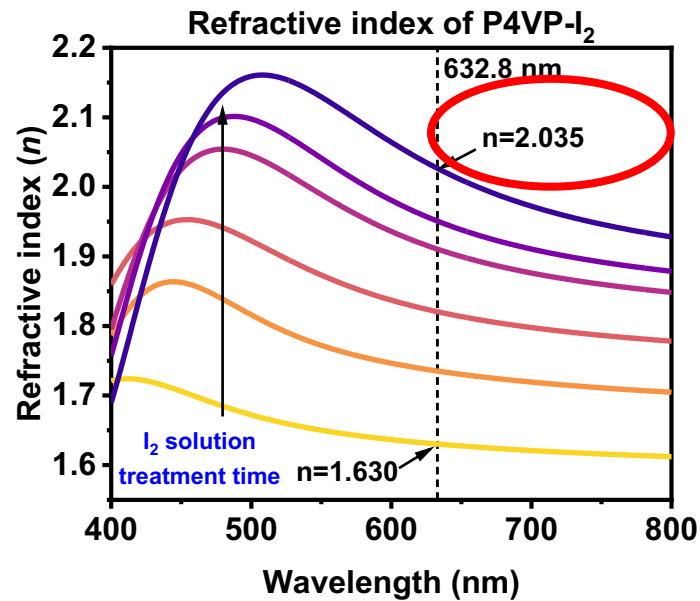


# Effective halogen incorporation confirmed by FTIR



The FTIR results demonstrated the formation of charge transfer compounds between P4VP and iodine

# P4VP-I<sub>2</sub> high index film



After 24hrs environmental stability test at 20C:  
The refractive index of P4VP-I<sub>2</sub> decreased by 7.9%

The RI of P4VP-I<sub>2</sub> is tunable by altering the iodine solution treatment time

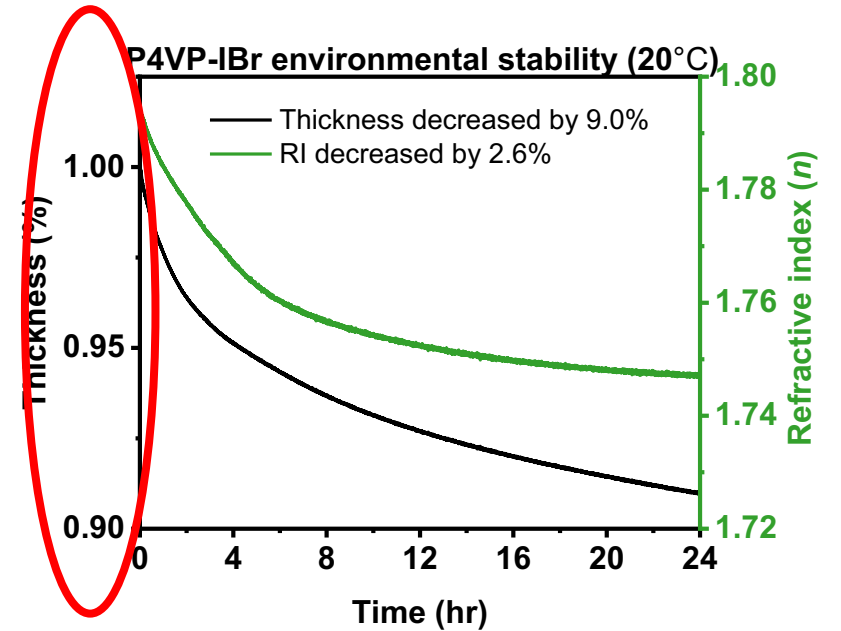
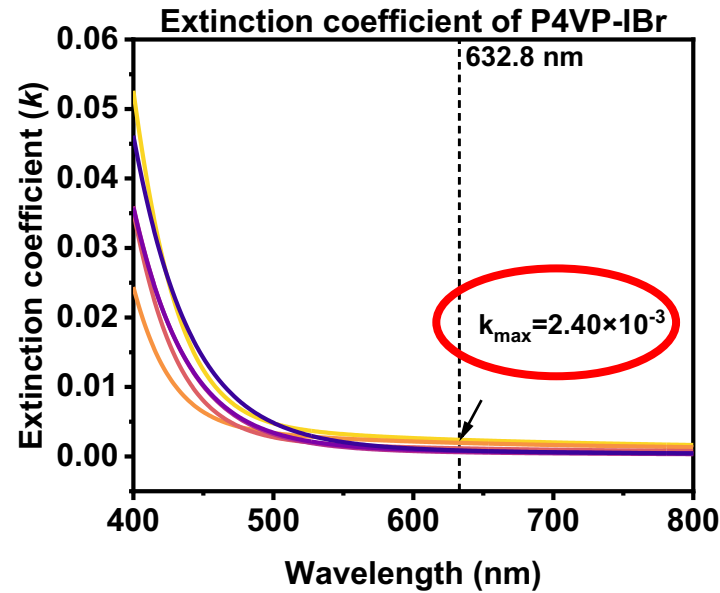
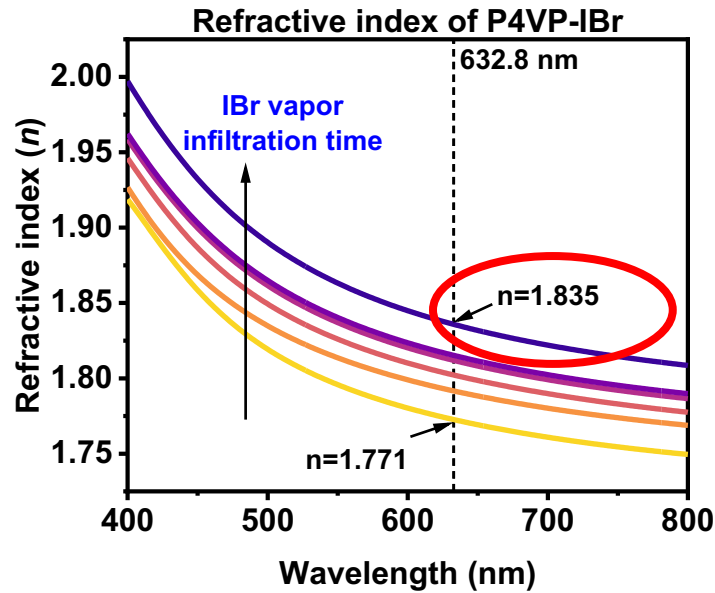
The maximum achievable refractive index for P4VP-I<sub>2</sub> is higher than 2.0+

Thermal stability needs further improvement

ICI and IBr are stronger Lewis acids compared with iodine, and can form more stable CTC with P4VP



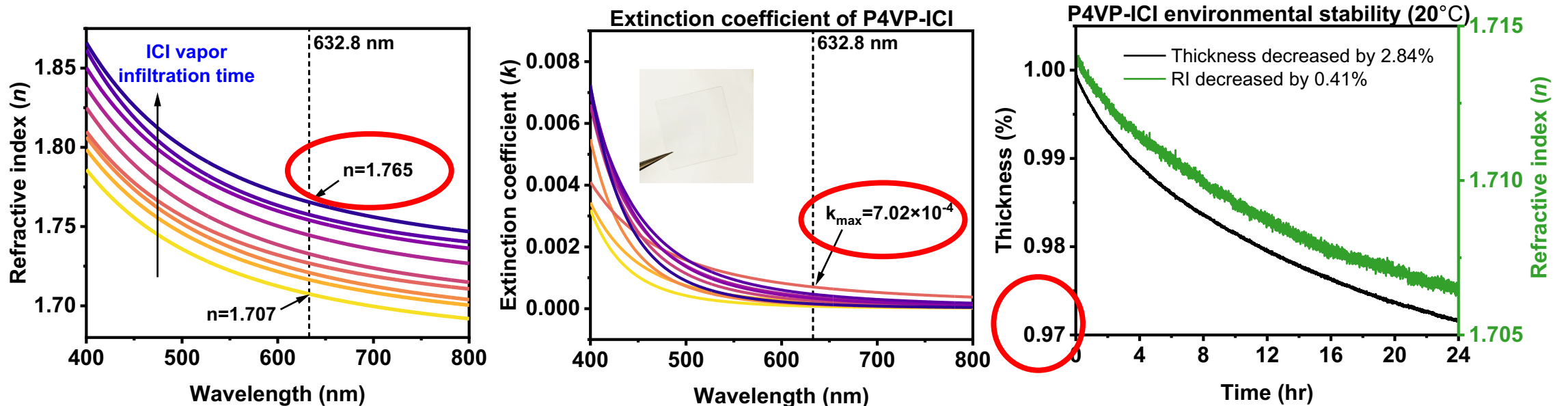
# P4VP-IBr high index films



After 24hrs environmental stability test at 20C:  
The refractive index of P4VP-I<sub>2</sub> decreased by **2.6%**

The RI of P4VP-IBr is tunable by altering the IBr vapor treatment time  
P4VP-IBr is more thermally stable and has a lower extinction coefficient than P4VP-I<sub>2</sub>

# P4VP-ICI high index films

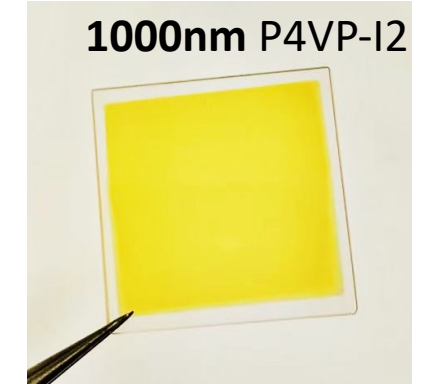
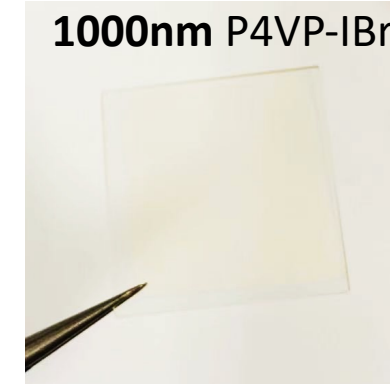
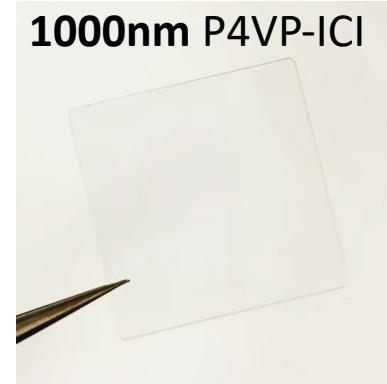
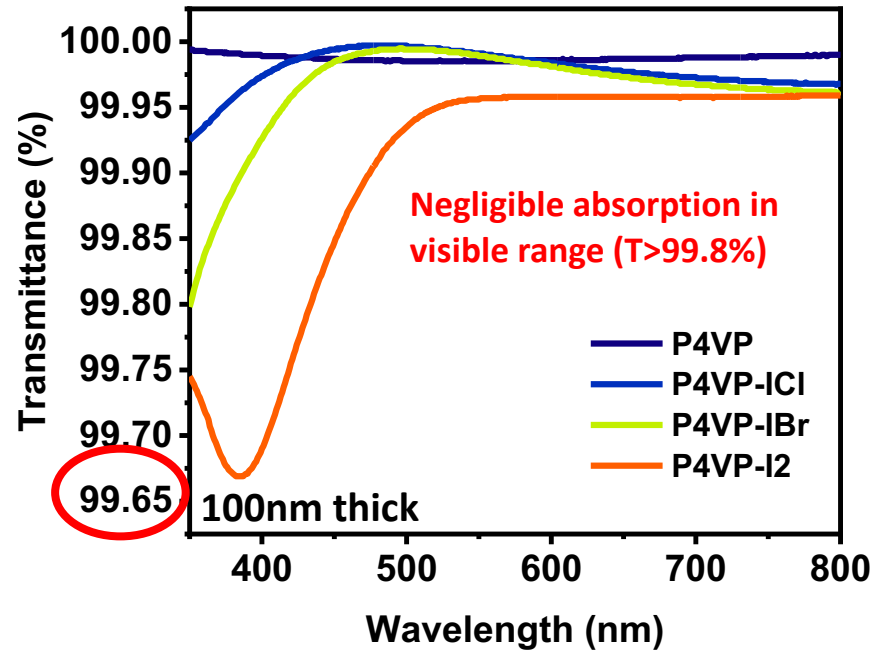


After 24hrs environmental stability test at 20C:  
The refractive index of P4VP-I<sub>2</sub> decreased by **0.41%**

**P4VP-ICI is more thermally stable than both P4VP-IBr and P4VP-I<sub>2</sub>.**  
**The extinction coefficient of P4VP-ICI in visible wavelength range is negligible.**

**By increasing the degree of ionization of the prepared CTC, the stability of P4VP-IX is greatly improved**

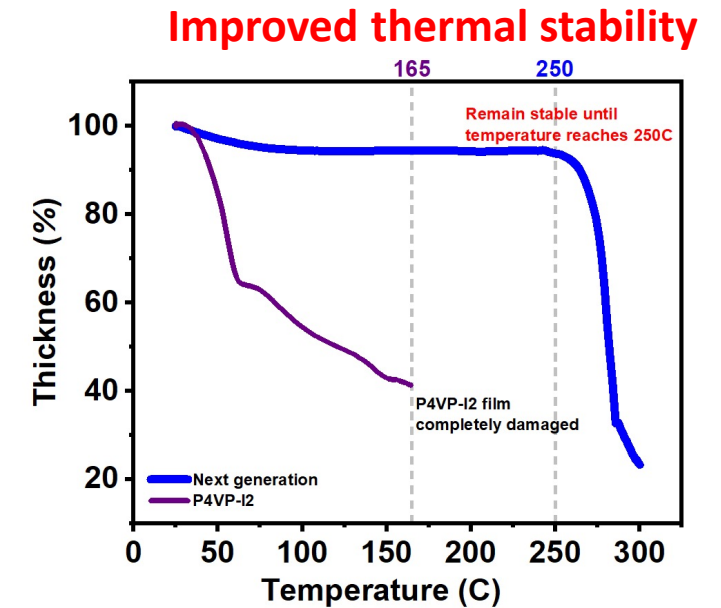
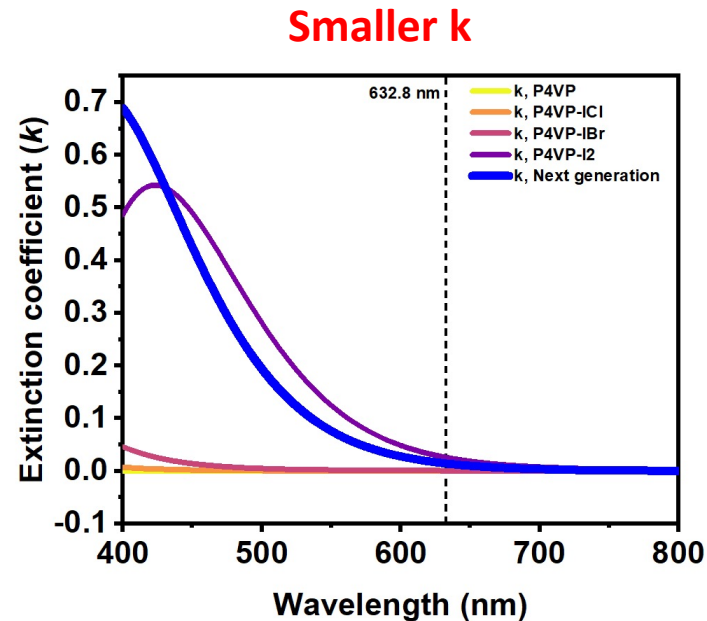
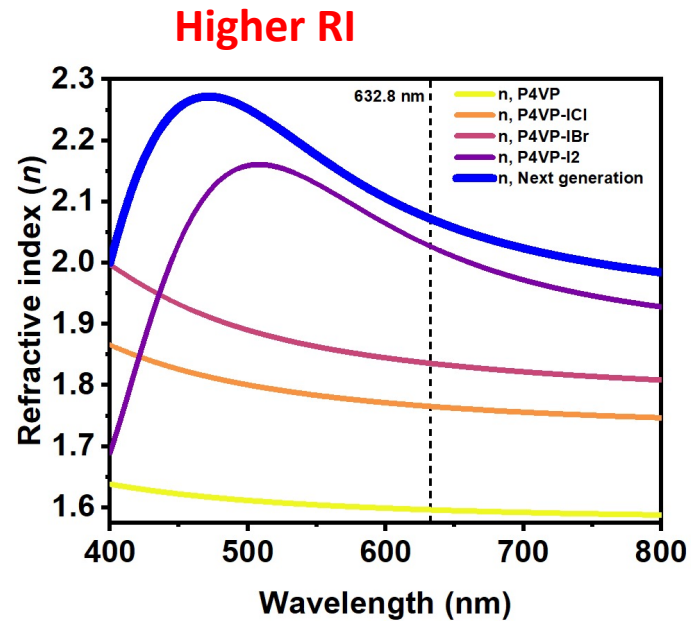
# Absorption of halogenated polymer films



P4VP-ICI and P4VP-IBr has negligible absorption in visible wavelength range



# Next generation halogenated high index polymer



A new generation of halogenated high index polymers exhibit higher refractive index (2.1+), lower average extinction coefficient compared with P4VP-I2, and remains stable up to 250°C.



# Conclusion

1. Developed a series of halogenated polymer thin films with high refractive indices ( $n = 1.58 - 2.0+$ ) and outstanding optical transparency prepared via vapor deposition followed by a halogen vapor treatment
2. The P4VP-I<sub>2</sub> complex is demonstrated to have an RI of 2.0 and is transparent above a wavelength of 600nm. In another formulation, P4VP complexed with ICl achieved an RI up to 1.77, while still retaining the outstanding optical transparency throughout visible range.
3. The RI of the halogenated polymer can be fine-tuned from 1.58 to 2.0 by controlling the concentration of CTC in the polymer film via copolymerization with monomers that inert to halogen compounds.
4. A new generation of halogenated high index polymers exhibit higher refractive index and lower extinction coefficient compared with P4VP-I<sub>2</sub>, and remains stable until 250C.



---

# Appendix

