Getting to Production with Few-shot Natural Language Generation Models

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Abstract

In this paper, we study the utilization of pre-trained language models to enable few-shot Natural Language Generation (NLG) in task-oriented dialog systems. We introduce a system consisting of iterative self-training and an extensible mini-template framework that textualizes the structured input data into semi-natural text to fully take advantage of pre-trained language models. We compare various representations of NLG models' input and output and show that transforming the input and output to be similar to what the language model has seen before during pre-training improves the model's few-shot performance substantially. We show that neural models can be trained with as few as 300 annotated examples while providing high fidelity, considerably lowering the resource requirements for standing up a new domain or language. This level of data efficiency removes the need for crowd-sourced data collection resulting in higher quality data annotated by expert linguists. In addition, model maintenance and debugging processes will improve in this few-shot setting. Finally, we explore distillation and using a caching system to satisfy latency requirements of real-world systems.

1 Introduction

Task-oriented dialog systems are commonplace in automated systems such as voice-controlled assistants, customer service agents, and website navigation helpers. Natural Language generation (NLG) is an essential part of task-oriented dialog systems, which converts data into natural language output to be subsequently served to the users. Since an NLG response directly impacts the user's experience, it should convey all of the information accurately, should be contextualized with respect to the user request, and be fluent and natural.

Commercial NLG systems are typically built on rule- or template-based text generation methods (Reiter and Dale, 2000; Gatt and Krahmer, 2018; Dale, 2020). These systems often consist of a human-authored collection of response templates with slot value placeholders. The placeholders are later filled with the dialog input at the runtime. Template-based NLG modules provide inherent fidelity, strictly controlled style and wording, and low latency, which makes them an appealing choice. However, template-based systems are challenging to scale since new templates need to be authored for different response variations; templates authored for a prior domain are not usually reusable for future domains; and it becomes increasingly arduous to author high-quality templates for complex domains. More importantly, in spite of the high amount of time and resources it usually takes to instill linguistic information into the templates, they are not contextualized on the user query, and the limited set of templates results in bounded naturalness of the system's responses.

Recently, generative models (Wen et al., 2015; Dušek and Jurcıcek, 2016; Rao et al., 2019) have become popular for their data-driven scaling story and superior naturalness over the typical template-based systems (Gatt and Krahmer, 2018; Dale, 2020). However, training reliable and low-latency generative models requires tens of thousands of training samples (Balakrishnan et al., 2019; Novikova et al., 2017). Model maintenance with such a large dataset has proven to be challenging, as it is resource-intensive to debug and fix responses, make stylistic changes, and add new capabilities. Therefore, it is of paramount importance to bring up new domains and languages with as few examples as possible while maintaining quality.

Pre-trained models like GPT2 (Radford et al., 2019) have been recently adapted to perform few-shot learning for task-oriented dialog (Peng et al.,

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2020; Chen et al., 2020). However, these methods have not usually addressed production concerns such as balancing latency and accuracy, which we explore in this paper. Arun et al. (2020) also pursue data efficiency and recommend several sampling and modeling techniques to attain production quality with light-weight neural network models. However, they do not achieve the same data reduction levels as they do not consider textualized inputs and iterative self-training. Here, we focus on the most complex domain examined by Arun et al. (2020), the weather dataset, and achieve approximately 8X higher data-efficiency levels. We propose scalable mini-templates to convert structured input into sub-natural text that is more suitable for re-writing by language models. We also utilize knowledge distillation and caching to make our models suitable for production. Finally, we explore model-based acceptability classifiers to ensure fidelity of the generated responses, which is essential for a real-life NLG system. Using this framework validated by human evaluations, we can bring up a new domain using only 300 annotated examples.

Our contributions are as follows: (1) we introduce a generalizable bottom-up templating strategy to convert structured inputs to semi-natural text; (2) we present results of experiments with different representations of input data and output text including structured vs. textual and lexicalized vs. partially delexicalized; (3) we propose a combination of using pre-trained language models, self-training, knowledge distillation, and caching to train production-grade few-shot NLG models; (4) we release datasets, model predictions, and human judgements to study the NLG domain stand-up under the few-shot setting.

2 Related Work

Pre-trained language models have shown promising results for generation tasks such as translation, summarization and data-to-text (Lewis et al., 2020; Yang et al., 2020). As noted above, Peng et al. (2020) and Chen et al. (2020) likewise explore pre-trained models for few-shot NLG in task-oriented dialog, but they do not investigate how to achieve acceptable latency while maintaining high quality.

Using templates alongside pre-trained language models for NLG has been recently introduced by Kale and Rastogi (2020), where templates for simple input scenarios are concatenated to form a template for a more complicated scenario. The templated scenario is then fed to a pre-trained language model instead of the structured input. In contrast to this flat approach, which creates a verbose input for the models to re-write, we use an efficient bottom-up approach with simple mini-templates to "textualize" the individual slots and dialog acts to semi-natural and telegraphic text. As such, we don't need to have various templates for simple scenarios and require only one rule for each new slot to be published with the possibility of choosing from several predefined rules. Moreover, the rules can be reused across domains which helps with efficiency and generalization. Also related is the approach of Kasner and Dušek (2020), who use templates extracted from the training data in part, though their approach is then followed by automatic editing and reranking steps.

Self-training has been previously investigated for NLG by Kedzie and McKeown (2019) and Qader et al. (2019), though they do not explore using pre-trained models with self-training. Also related are earlier approaches that use cycle consistency between parsing and generation models for automatic data cleaning (Nie et al., 2019; Chisholm et al., 2017). More recently, Chang et al. (2021) have developed a method for randomly generating new text samples with GPT-2 then automatically pairing them with data samples. By comparison, we take a much more direct and traditional approach to generating new text samples from unpaired inputs in self-training (He et al., 2020), using pre-trained models fine-tuned on the few-shot data for both generation and reconstruction filtering.

3 Task

Our task is to convert a tree-based scenario into natural text, given the original query. An example data item together with its transformations (Section 4) is shown in Table 1.

3.1 Data

Our experiments were conducted using 4 task-oriented datasets. We focused on the most challenging dataset, Conversational Weather, which is similar to the one introduced in Balakrishnan et al. (2019). We also used three additional datasets for joint training, namely the Reminder, Time, and Alarm domains released in Arun et al. (2020). We used two test sets for the Weather domain: (1) a challenging version which consists of data from a wider distribution of inputs compared to those we

Query	How is the weather over the next weekend?
Structured MR	INFORM_1 [temp_low [20] temp_high [45] date_time [colloquial [next weekend]]] CONTRAST_1 [INFORM_2 [condition [sun] date_time [weekday [Saturday]]] INFORM_3 [condition [rain] date_time [weekday [Sunday]]]]
Delexicalized Structured MR	INFORM_1 [temp_low [temp_low_1] temp_high [temp_high_1] date_time [colloquial [next weekend]]] CONTRAST_1 [INFORM_2 [condition [sun] date_time [weekday [weekday_1]]] INFORM_3 [condition [rain] date_time [weekday [weekday_2]]]]
Textualized MR	**inform** low temperature 20, high temperature 45, next weekend. **inform** sun, on Saturday **but inform** rain, on Sunday.
Delexicalized Textualized MR	**inform** low temperature temp_low_1, high temperature temp_high_1, next weekend. **inform** sun, on weekday_1 **but inform** rain, on weekday_2.
Structured Reference	INFORM_1 [date_time [colloquial [next weekend]] expect a low of temp_low [20] and a high of temp_high [45].] CONTRAST_1 [INFORM_2 [it will be condition [sunny] date_time [on weekday [Saturday]]] but INFORM_3 [it'll condition [rain] date_time [on weekday [Sunday]]] .]
Delexicalized Structured Reference	INFORM_1 [date_time [colloquial [next weekend]] expect a low of temp_low [temp_low_1] and a high of temp_high [temp_high_1].] CONTRAST_1 [INFORM_2 [it will be condition [sunny] date_time [on weekday [weekday_1]]] but INFORM_3 [it'll condition [rain] date_time [on weekday [weekday_2]]] .]
Reference	Next weekend expect a low of 20 and a high of 45. It will be sunny on Saturday but it'll rain on Sunday.
Delexicalized Reference	Next weekend expect a low of temp_low_1 and a high of temp_high_1. It will be sunny on weekday_1 but it'll rain on weekday_2.

Table 1: Representations of NLG input and output. **Query**, **Structured MR** , and **Delexicalized Structured MR** are inputs to the NLG task. **Textualized MR** and **Delexicalized Textualized MR** are intermediate model inputs. **Reference** is our desired output, which can be delexicalized in text format as seen in **Delexicalized Reference** or annotated as seen in **Structured Reference** and **Delexicalized Structured MR**.

expect to encounter in production, and (2) a real-world version to evaluate the performance realistically. All of our data is synthetic and created by expert linguists. The challenging test set is used to differentiate between models and to measure model robustness in case of possible upstream changes. All reported numbers are against the challenging test set unless otherwise stated.[1]

3.2 Metrics

Human evaluation is used to compare the effect of input and output structure and delexicalization on model performance. Judgments were obtained for 493 samples out of the challenging test set. Following Arun et al. (2020), each sample was evaluated by two separate annotators followed by a tie-breaker for correctness and grammaticality:

Correctness Evaluation of semantic correctness of a response. Annotators check for missing slots, hallucinations, and bad slot aggregation.

Grammaticality Checks for grammatical correctness of a sentence, which includes completeness, subject-verb agreement, word order, sentence structure, etc.

We also use *Reconstruction Accuracy* as an offline metric to measure the effect of data reduction and self-training on model performance. We fine-tune BART large as a reverse model converting responses to input scenarios. After the generation task, the reconstruction model is used to regenerate the scenario. For each sample, if the reconstructed scenario is exactly the same as the original scenario, we count that as a correct generation (Qader et al., 2019). Note that using reconstruction in production is prohibitive due to its high latency.

3.3 Models

The model architectures used in this study are either a LSTM-based sequence-to-sequence (S2S)

[1]The datasets, model hyperparameters, model outputs, and human evaluation data can be found at https://github.com/facebookresearch/FewShotNLG

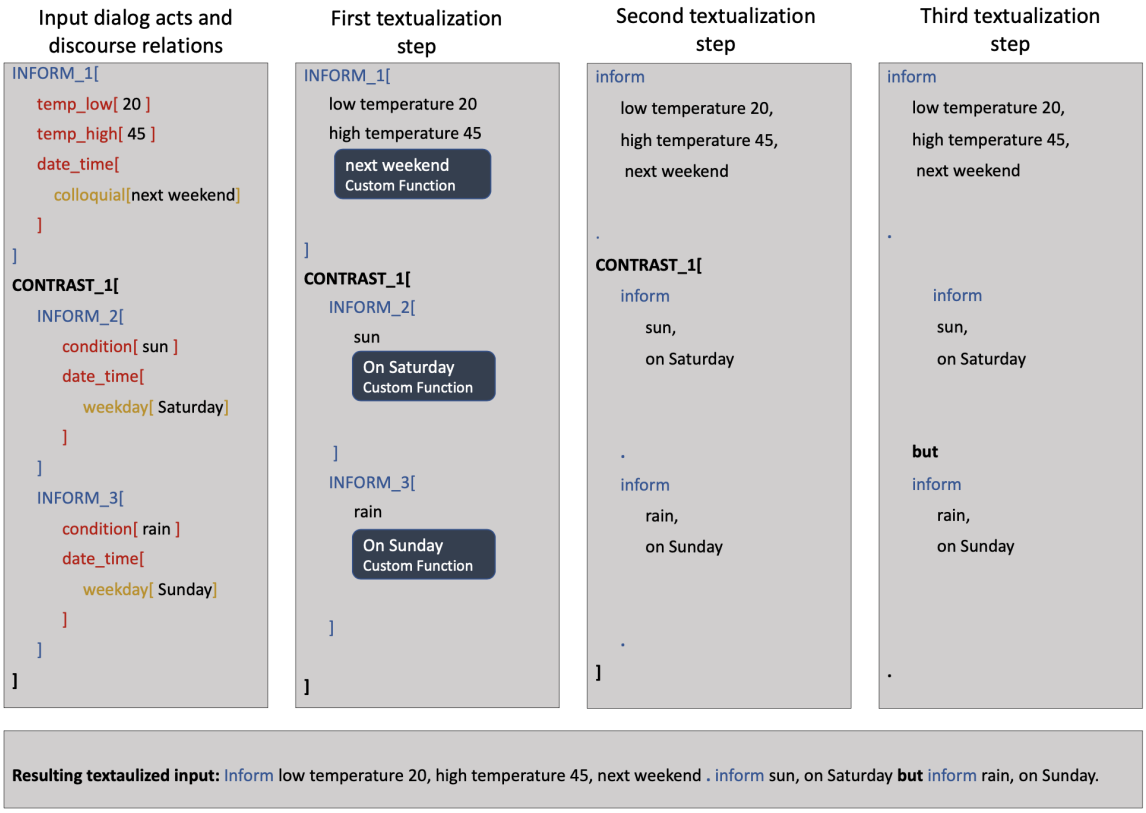

Figure 1: Textualization process using configurable pre-defined templates and custom templates.

models (Bahdanau et al., 2014) or derivatives of a pre-trained large transformer-based S2S model called BART (Lewis et al., 2019). For BART, we use four variants of different sizes (Section 4.3). More details of the modeling details and training hyperparameters can be found in the Appendix.

4 Methodology

4.1 Input and Output Representation

The MR consumed by our NLG model is a tree consisting of discourse relations, dialog acts, and slots (possibly nested). An example of such input is shown in Table 1. We hypothesize that we can utilize the power of pre-trained models more effectively by transforming the input to a form closer to what the models have seen during pre-training. As such, we textualize the input trees using mini-templates. We provide templates for the individual nodes in the tree (i.e., dialog acts and slot labels). As such, we traverse the scenario tree and textualize the input iteratively by combining the templates for the nodes we come across (Table 1).

As mentioned before, Kale and Rastogi (2020) proposed an approach of using templates for simple input scenarios to form input for more complicated flat scenarios, which where subsequently fed to a pre-trained language model. . Our approach requires less manual effort since it adopts a bottom-up approach with simpler mini-templates to "textualize" the individual slots (possibly nested) as shown in Fig 1. We recommend several templating schemes which enable us to add new domains to the framework with less resources. As a guideline, one should choose a templating scheme for new slots that makes the textualized representation understandable for humans. While some slots might require custom templates, our experiments have shown that those are just a small fraction of all slots. Our proposed templating schemes are:

- **Dialog acts:** We prepend the name of the intended dialog act to textualize them after all their slots have been previously textualized.
- **Discourse relations:** Since discourse relations always encompass dialog acts, we use a mapping of them with discourse connectives. For example, dialog acts inside a `Contrast` relation are joined using a *but*, while those inside `Join` are mapped to *and*.
- **Slot values:** A possible behavior for textual-

izing slots inside dialog acts is just to mention the slot value. For example, we chose to represent weather `condition` using this scheme.

- **Slot name and values:** Slot names are replaced by an engineer-defined string and placed before slot values. For example, we represent slots such as `low_temperature` and `high_temperature` using this method since just using slot values is misleading for the models.

- **Custom:** Writing custom templates for complex slots might be necessary to give the models a better chance to produce high-quality responses. For example, `date_time` and `date_time_range` are textualized using this method in this work.

- **Default:** The default behavior for textualizing any slot which has not been assigned another method is to remove underscores from slot names and prepend it to its slot value. This default behavior enables us to use this system on new domains without any change and expect reasonable performance.

The second technique that we explore is delexicalizing the slot values in order to mitigate model hallucination. During our initial experiments, we observed that in few-shot settings, pre-trained language models can drop some slots or fail to exactly copy their values, which can be catastrophic in a production system. This has been observed in other generation tasks using pre-trained models as well (Einolghozati et al., 2020). Therefore, we explore delexicalization of slots when linguistically permissible. For example, weather `condition` can not be delexicalized since its different values such as `sand storm` or `fog` will change the surface form of the sentence significantly while a slot such as `weekday` can be delexicalized. We also combine the few-shot Weather samples with data for three other domains to provide the model with more task-oriented data.

Balakrishnan et al. (2019) have previously shown that even with delexicalization of slot values, maintaining the tree-like structure shown in Table 1 is useful for rule-based correctness checking of low-capacity LSTM-based NLG models in the full-data setting. Our assumption is that textualizing the input structure and delexicalization help the few-shot NLG task with better utilization of large pre-trained models. In addition, maintaining the structure increases the sequence length and therefore increases the latency of the models significantly. Therefore, we perform experiments with different variations of the input and output structures as well as various BART sizes.

Model	Latency (ms)	Encoder x Decoder (layers)
BART large	935	12 X 12
BART base	525	6 X 6
BART _3_3	253	3 X 3
BART _5_1	114	5 X 1
LSTM	34	1 X 1
Cache	9	-

Table 2: The median inference latency of different models (1000 inferences using 16GB Quadro GP100 GPUs) compared to cache latency.

4.2 Self-Training

Annotating large quantities of high-quality data is time and resource consuming. However, it is often possible to automatically generate a lot of unlabeled data using a synthetic framework. Here, we adapt and extend the semi-supervised self-training strategy introduced by He et al. (2020). As shown in Fig 2, self-training consists of multiple cycles of generation and reconstruction.

We fine-tune BART (Lewis et al., 2020), a pre-trained seq2seq language model, for both steps. For generation, we experiment with various ways of textualizing the scenario tree, concatenated with the input query, before using it as input to the generation model. The reason for the latter is that there could be some subtleties in the original query which would be helpful in the response generation that are not included in the scenario tree. For example, Yes/No-questions are not reflected in the tree: *Is it cold?* and *What's the weather?* have the same scenario tree, though the former would require a Yes/No confirmation in the result. In parallel, the same generation data is used to fine-tune a reconstruction BART large model to obtain the generation input (without the input query), given the responses. After generation in each cycle, we use the reconstruction model to select samples with exact reconstruction match. Finally, the selected samples are added to the training pool for knowledge distillation or the next self-training cycle.

4.3 Knowledge Distillation

One of the biggest obstacles in real-world application of pre-trained language models such as BART is their prohibitive latency. We explored knowledge

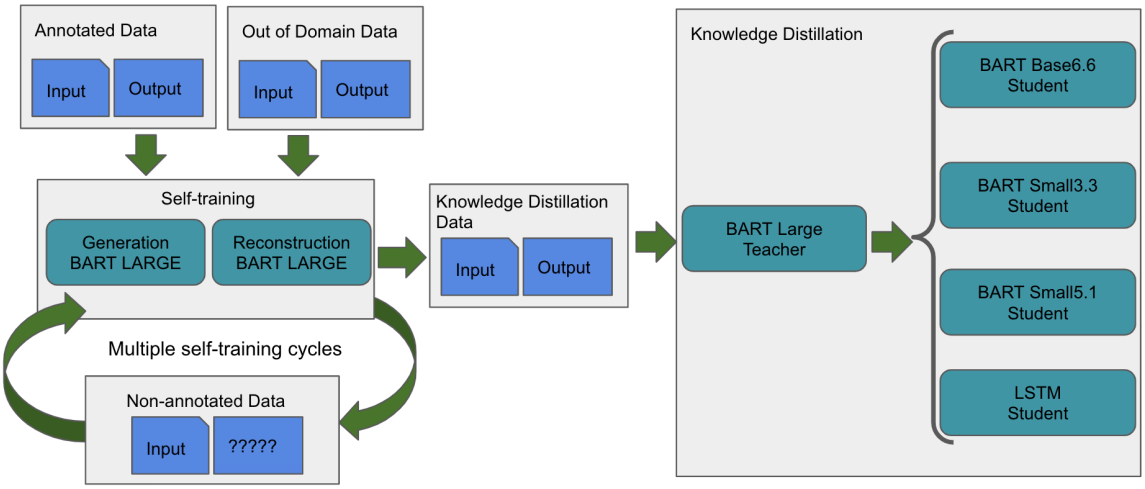

Figure 2: Few-shot NLG process consists of several cycles of self-training followed by knowledge distillation.

distillation to mitigate this issue, here. We perform sequence-level knowledge distillation (Kim and Rush, 2016) from BART large to BART models with various smaller sizes, in addition to a small LSTM model (Table 2).

4.4 Caching

Another solution to mitigate the latency concerns of large models for production systems is to use caching. A median limit of 100ms for productions systems is reasonable in our view. However, as shown in Table 2, the median inference latency even after knowledge distillation into a small BART model is more than 100ms. As such, we can utilize a caching approach that stores model input and output as key-value pairs. Our cache implementation is an RPC call to an indexed datastore, with a median lookup time of **9 ms**. Even with a caching solution, knowledge distillation is essential to limit latency of 90th and 95th percentile of the traffic.

The efficacy of using a cache is largely dependent on the hit rate, which can vary by domain complexity, the inclusion of utterance in the model input, and the amount of delexicalization.

4.5 Acceptability Checking

In a production neural NLG system, reliable and low-latency filters are essential to guard against incorrect and ungrammatical model responses. Arun et al. (2020) proposed coupling neural models with fall-back templates to deliver more fluent model responses in a safe manner. Their proposed acceptability checking method, tree accuracy (Balakrishnan et al., 2019), requires retention of the tree-based structure that we are proposing to remove. We explored several recent model-based acceptability checking mechanisms as alternatives (Harkous et al., 2020; Anonymous, 2021). Building an acceptability model requires collecting positive and negative examples. We use the samples that pass the reconstruction step of self-training as the positive ones. The challenge lies in approximating mistakes a model is likely to make in production, and creating a dataset of synthetic negative examples. Anonymous (2021) use mask filling with pre-trained models for creating synthetic incorrect examples, which we adopt using BART.

We train two models, a production-grade convolutional (DocNN) model (Jacovi et al., 2018) with median latency of 8 ms and a high-capacity pre-trained RoBERTa-Base model (Liu et al., 2019) with latency 100 ms. These binary classification models determine whether a sequence of delexicalized textualized input MR concatenated with the delexicalized model output is correct at runtime.

4.6 End-to-End Architecture

To summarize, we first transform and delexicalize the input and output of all samples using the aforementioned input transformation framework. We subsequently annotate several hundred samples from our target domain. The annotated samples are then added to the data from other domains for joint-training. Next, several (usually two) cycles of self-training (generation and reconstruction) are carried out to auto-annotate the remaining target domain input data. Subsequently, sequence-level knowledge distillation from BART large to smaller models is performed. A schematic of the training process can be seen in Fig 2. Finally, a caching

system and a few-shot acceptability classifier are trained to cover all production requirements.

5 Results

5.1 Input and Output Representation

Table 3 shows the correctness and grammaticality (c&g) evaluations for various few-shot models in comparison to the full data setting. The results validate our hypothesis that transforming the structured data into a textual form (similar to those used for pre-training BART) increases model performance in few-shot settings. In addition, we observe that delexicalizing some slot values consistently boosts the performance of the NLG models. The c&g data is highly correlated with automatic BLEU scores as can be seen in the Appendix. Therefore, we recommend adoption of delexed textualized input and delexed text output for training production-quality few-shot NLG models.

In the full data setting, retaining the tree structure helps with more accurate natural language generation (Table 3), which is in line with observations in Balakrishnan et al. (2019). The highest c&g% of 92.5 is achieved when input is lexed structured and output is delexed structured: it is 2.3% more than performance of the model with the same lexed structured input but with lexed structured output, which is due to the lower possibility of hallucination when the model output is delexed. In addition, this combination has higher performance compared to the one with delexed structured input and delexed structured output, which is possibly due to higher utilization of BART's encoder knowledge while processing the input sequence.

Interestingly, the lexed structured input / delexed structured output combination with the highest full data performance performs poorly in few-shot setting across the board. Indeed, its correctness and grammaticality is more than 10.0% lower than the delexed textualized input / delexed text output combination regardless of the capacity of the model used for knowledge distillation.

5.2 Data Efficiency

We ran experiments at different levels of data-efficiency using BART small5.1 and evaluated their performance using a reconstruction model (trained with full data). Fig 4 shows that the reconstruction accuracy increases with more annotated data, as expected. However, even with 250 annotated samples, we achieve a reconstruction accuracy of 75.0% on the challenging test set, and our low-latency few-shot correctness model improves this to 88.7%. Interestingly, human annotations revealed a performance of 97.8% on the real-world test set for a similar model, and the same correctness model improves this to 98.8%. This observation suggests that even though there remains a substantial gap between few-shot and full-data performance on the challenging set, the few-shot models will perform satisfactorily in a real-world setting.

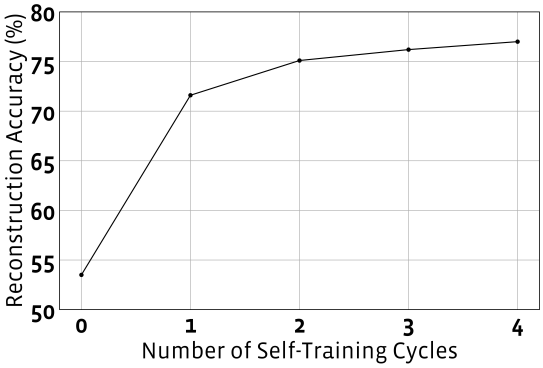

Figure 3: Model performance (BART small5.1 with 250 samples) as a function of the number of self-training cycles.

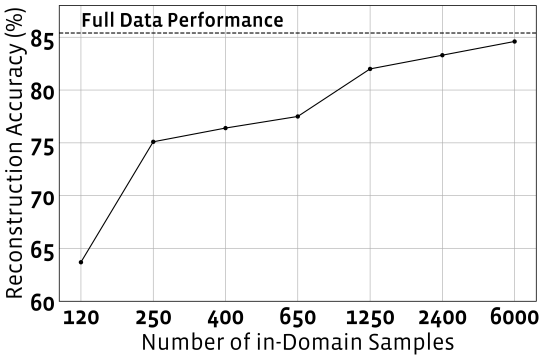

Figure 4: The effect of dataset size on model performance (BART small5.1) with two self-training cycles.

5.3 Self-Training

We also performed experiments to optimize the number of self-training cycles. As shown in Fig 3, even one cycle of self-training increases the performance of the model by 20.0%. From a pool of 31,400 unlabeled samples, more than 13,500 are added during the first self-training cycle, 5,000 more are added in the second cycle followed by just 1,400 in the third cycle. The rate of addition decreases more after the third cycle. We recommend 2-3 self-training cycles considering computational limits. For comparison, we also ran similar experiments without joint training (not using other domains) and self-training, which yields a baseline reconstruction accuracy of only 42.7%.

Input representation	Output representation	BART large	BART base	BART_3_3	BART_5_1	LSTM	Full BART
Lexed Structured	Lexed Structured	73.0	71.2	70.2	69.2	69.6	90.2
Lexed Structured	Delexed Structured	71.4	71.0	67.3	67.5	66.3	**92.5**
Lexed Structured	Lexed Text	79.9	72.4	65.3	66.3	62.1	90.9
Lexed Structured	Delexed Text	81.5	76.1	72.2	68.8	66.5	91.7
Delexed Structured	Delexed Structured	77.3	72.8	67.1	71.2	74.4	90.2
Delexed Structured	Delexed Text	71.8	72.0	66.7	64.7	64.9	90.2
Lexed Textualized	Lexed Text	84.0	78.7	**80.5**	77.1	73.6	88.9
Delexed Textualized	Delexed Text	**85.2**	**80.3**	78.9	**79.5**	**78.5**	88.8

Table 3: Effect of input & output representation on correctness and grammaticality (c&g%) of few-shot model responses (using 250 annotated samples). Full BART uses all annotated training data with a BART base model as the top line. Delexed Textualized input with Delexed Text output achieves the highest performance with most few-shot models. Lexed Structured input with Delexed Structured output reaches the highest full data performance, while performing among the worst combinations in the few-shot setting. Generating delexed text boosts performance consistently compared to lexed text.

Model	Macro-F1	Precision (Co)	Recall (InCo)
DoCNN	70.5	88.8	40.9
RoBERTa	75.1	90.9	54.2

Table 4: Correctness model metrics on 493 delexed samples (83 incorrect) from a distilled BART small5.1 model (Co stands for Correct and InCo stands for Incorrect classes). Recall (Co) is kept fixed at 94.9%.

5.4 Caching

For Weather, we expect a cache rate of about 60% with keys made through concatenation of user query with delexicalized textualized input MR. For BART small5.1, this bring down the median latency to 51 ms, yielding a 64% improvement. We believe that delexicalizing the user input has the potential to improve the hit rate even further. This can be done by replacing the user query words with values that have been delexicalized in the MR.

Using this cache will not reduce the variation of model responses because of how the cache key is constructed. The delexicalized MR used in the cache key will be the same for two requests only if the MRs differ at most in the values of slots that do not affect the model response. For example, if two MRs differ only in the value of `weekday`, the cache will get a hit. However, if anything else such as the weather `condition` is different, there will not be a hit. More importantly, since our models are deterministic, if the model is delexicalized as we proposed here and the user query is used in the cache key, the input to the model and the cache key will be exactly the same removing any possibility of reduction in response variation.

5.5 Acceptability Checking

Table 4 shows that it is possible to train correctness models with fully synthetic negative data in a few-shot setting. Complementing high-fidelity generation models with a correctness model similar to the one here makes it possible for few-shot NLG models to meet high production quality bars.

We experimented with using distilled LSTM-based models together with tree accuracy filtering as the correctness checking mechanism, which requires structured output representations, following the recommendations in Arun et al. (2020). Our correctness models with BART small5.1 demonstrated 2.0% higher precision compared to tree accuracy with LSTMs. More importantly, tree accuracy with LSTMs filtered out many more examples (14.4%) compared to the correctness models with BART small5.1 (3.6%), making this combination less suitable at these levels of data efficiency (8X higher).

6 Conclusion

In this paper, we explored for the first time whether few-shot NLG models can be productionized, enabling us to much more effectively scale to new domains and languages. By using a system consisting of a templating approach, pre-trained language models, self-training, and an acceptability classifier, we found that we can stand up domains with a few hundred annotated samples compared to several thousands previously. At this level of data efficiency, there is no need for crowd-sourced data collection as expert linguists can instead annotate the data used by the system. In addition, model maintenance—including addition of new capabilities, debugging, and changing response style—will become significantly easier using the few-shot system. Furthermore, we addressed production latency needs via knowledge distillation and caching.

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